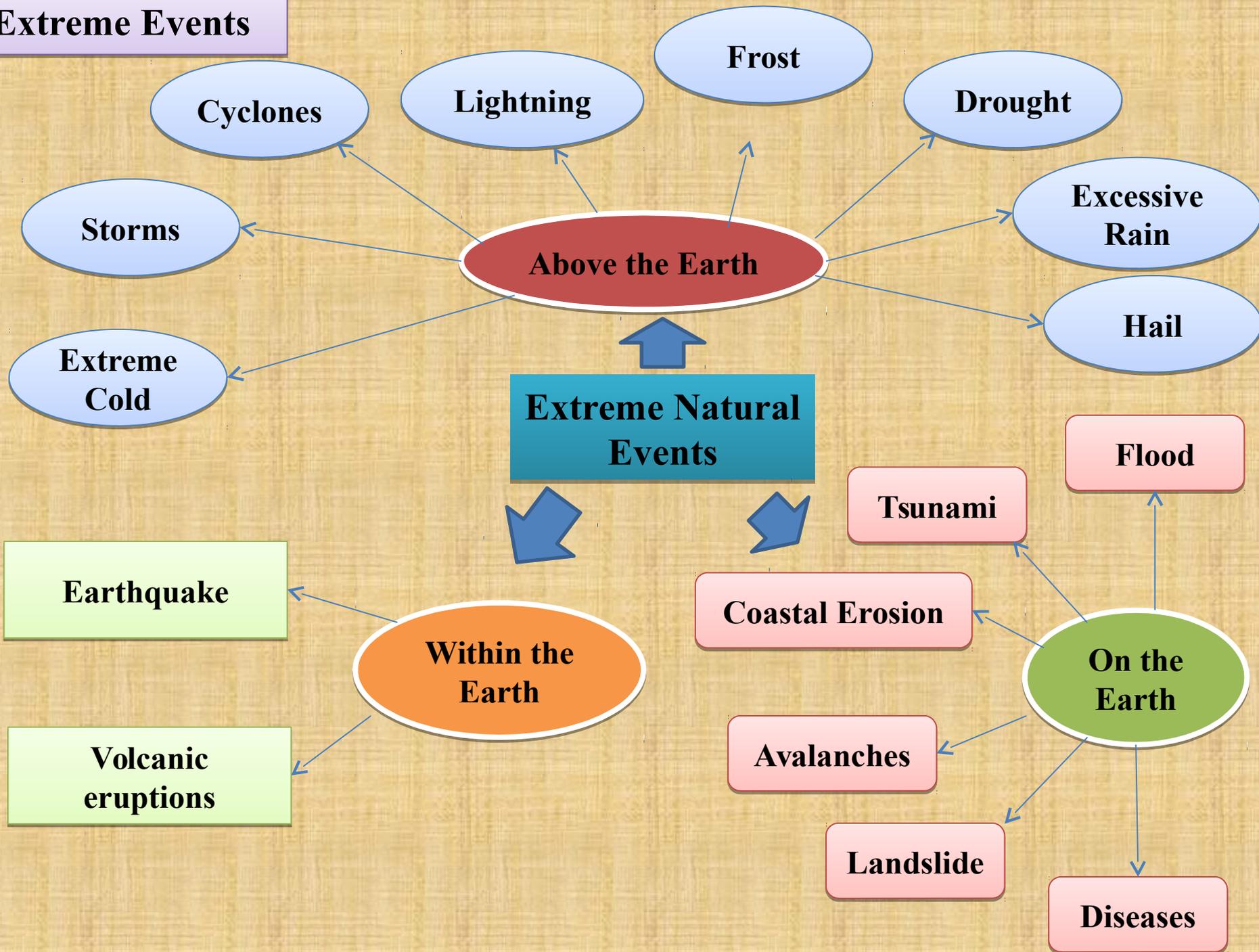


# Earthquake Occurrence as an Extreme Event as conceptualized from Genesis to Prognosis implicating Hazard, Vulnerability and Risk in the Indian and Japanese Peninsula

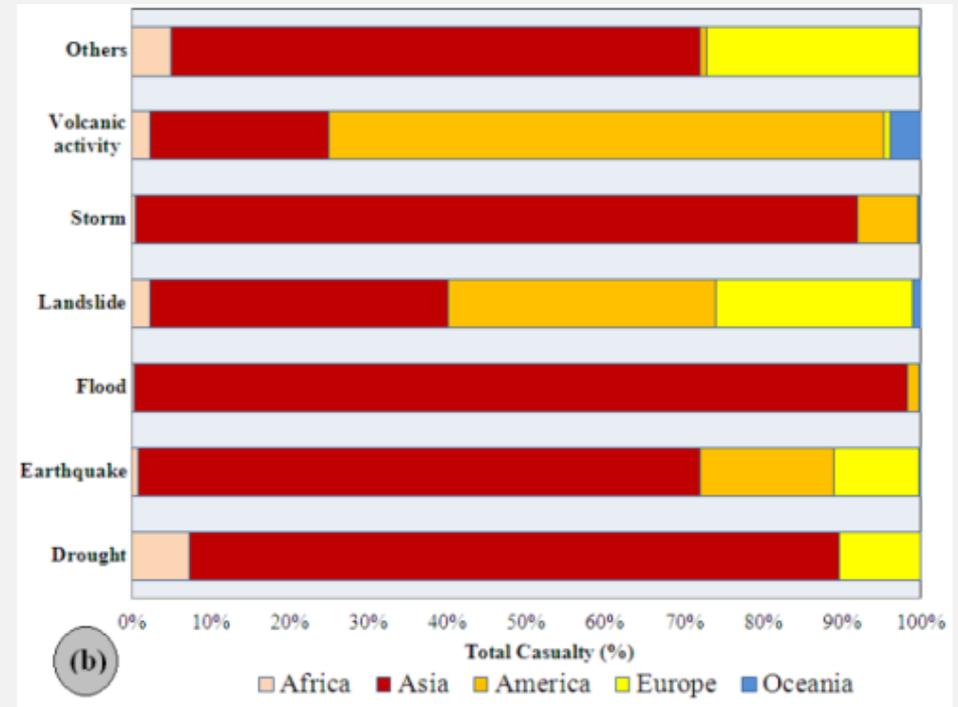
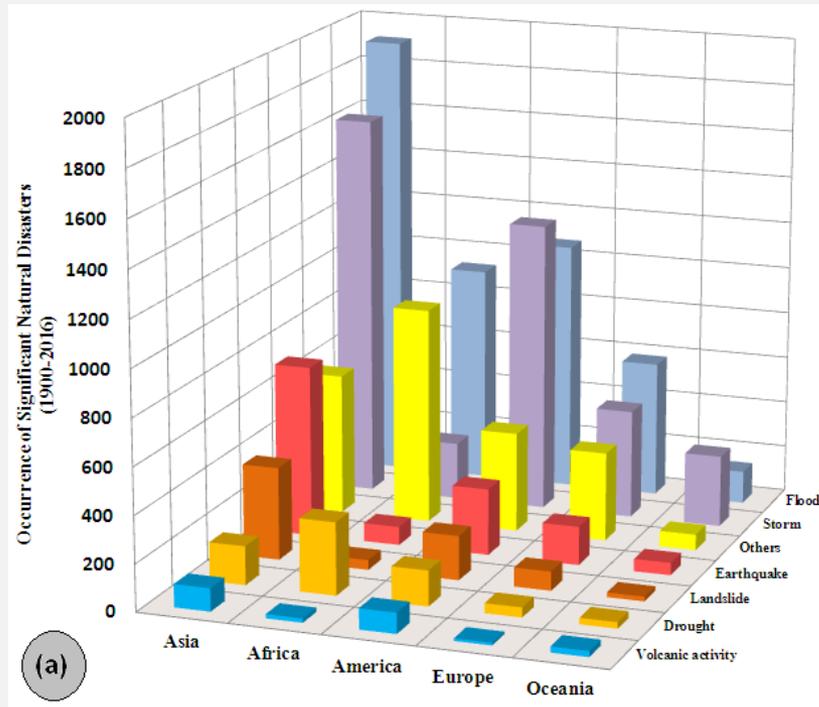


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# Extreme Events



# Natural Disasters during 1950-2016: A Global Scenario



(a) Occurrence of significant number of Natural Disaster during 1900-2016 for different continental region in the World (b) Total casualty reportedly caused by natural disasters (source: CRED; EMDAT: <http://www.emdat.be>)

## Limiting Behaviour of Sums or Averages

(See [Embrechts et al., 1997], Chapter 2.)

We are familiar with the **central limit theorem**.

Let  $X_1, X_2, \dots$  be iid with finite mean  $\mu$  and finite variance  $\sigma^2$ . Let  $S_n = X_1 + X_2 + \dots + X_n$ . Then

$$P\left(\frac{(S_n - n\mu)}{\sqrt{n\sigma^2}} \leq x\right) \xrightarrow{n \rightarrow \infty} \Phi(x),$$

where  $\Phi$  is the distribution function of the standard **normal** distribution

$$\Phi(x) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^x e^{-u^2/2} du.$$

Note, more generally, the limiting distributions for appropriately normalized sample sums are the class of  **$\alpha$ -stable distributions**; Gaussian distribution is a special case.

# Limiting Behaviour of Sample Extrema

(See [Embrechts et al., 1997], Chapter 3.)

Let  $X_1, X_2, \dots$  be iid from  $F$  and let  $M_n = \max(X_1, \dots, X_n)$ .

Suppose we can find sequences of real numbers  $a_n > 0$  and  $b_n$  such that  $(M_n - b_n) / a_n$ , the sequence of normalized maxima, converges in distribution, i.e.

$$P((M_n - b_n) / a_n \leq x) = F^n(a_n x + b_n) \xrightarrow{n \rightarrow \infty} H(x),$$

for some non-degenerate df  $H(x)$ .

If this condition holds we say that  $F$  is in the *maximum domain of attraction* of  $H$ , abbreviated  $F \in \text{MDA}(H)$ . Note that such an  $H$  is determined up to location and scale (by the convergence-to-types theorem), i.e.  $H$  specifies a unique *type* of distribution.

## F2. Generalized Extreme Value Distribution

The general form of a df in the generalized extreme value (GEV) family is

$$H_{\xi}(x) = \begin{cases} \exp\left(-(1 + \xi x)^{-1/\xi}\right) & \xi \neq 0, \\ \exp(-e^{-x}) & \xi = 0, \end{cases}$$

where  $1 + \xi x > 0$  and  $\xi$  is the *shape* parameter. Note, this parametrization is continuous in  $\xi$ . For

$\xi > 0$   $H_{\xi}$  is equal in type to classical Fréchet df

$\xi = 0$   $H_{\xi}$  is equal in type to classical Gumbel df

$\xi < 0$   $H_{\xi}$  is equal in type to classical Weibull df.

We introduce *location and scale* parameters  $\mu$  and  $\sigma > 0$  and work with  $H_{\xi,\mu,\sigma}(x) := H_{\xi}((x - \mu)/\sigma)$ . Clearly  $H_{\xi,\mu,\sigma}$  is of type  $H_{\xi}$ .

# Earthquakes and Tsunamis

---



- According to long-term records (since about 1900), we can expect about 18 major earthquakes (7.0 - 7.9 on the Richter scale) and one great earthquake (8.0 or above) in any given year
- The number of earthquakes and tsunamis resulting in fatalities has increased approximately in proportion to global populations
- The growth of giant urban cities near regions of known seismic hazard is a new experiment for life on the Earth
- Tsunamis are a threat to life and property for all coastal residents

Sources: NEIC 2003; USGS 2004; UNEP 2005 (GEO 2004/2005)

❖ *The seismic vulnerability of the world is rapidly increasing due to fast urbanization, destabilization of environment and social framework.*

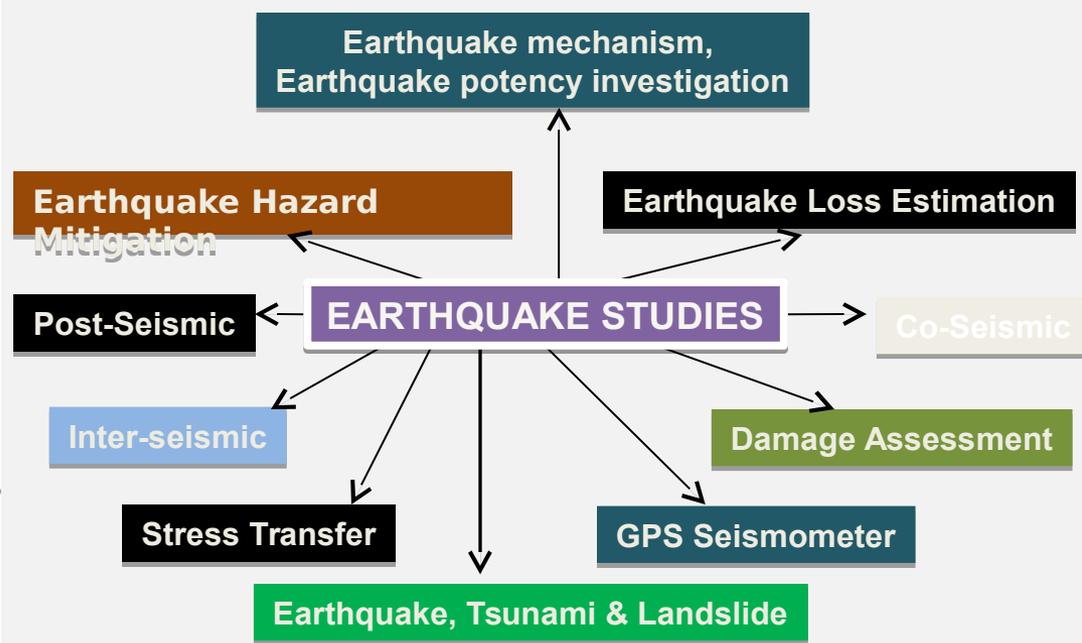
❖ *According to a conservative estimate, more than 15 million lives have been lost and damage worth hundred billion dollars has been inflicted in the recorded history due to earthquakes.*

**India and adjoining regions is about 21% of the global total according to significant earthquake database of National Geophysical Data Center.**

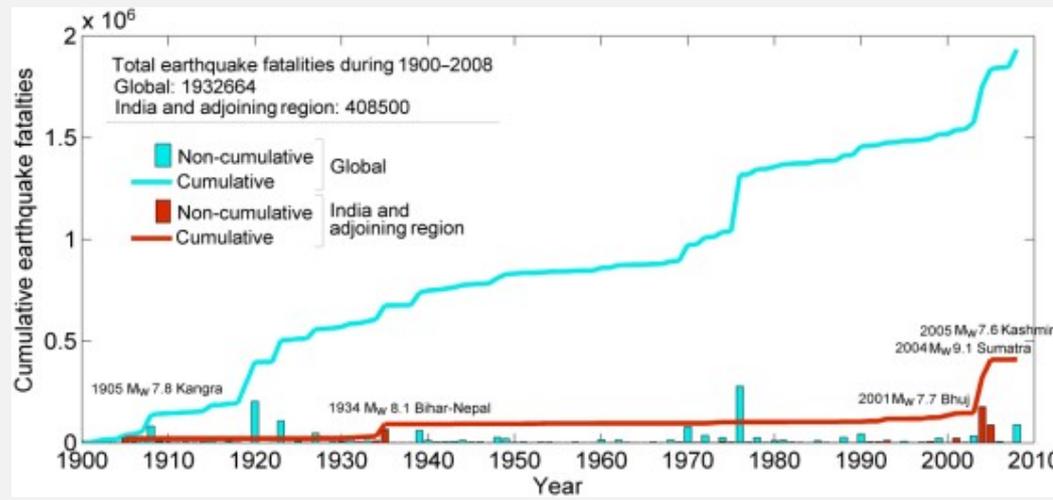
❑ **Unprecedented population growth have compounded the prevailing seismic risk.**

➤ *Urban Safety has gained importance in recent years with rapid increase in construction activities and growth in urban population all over the world.*

➤ *It poses a challenge to planners, administrators, engineers and architects alike due to its multi-dimensional nature, which cover areas from structural design, maintenance and rehabilitation to*

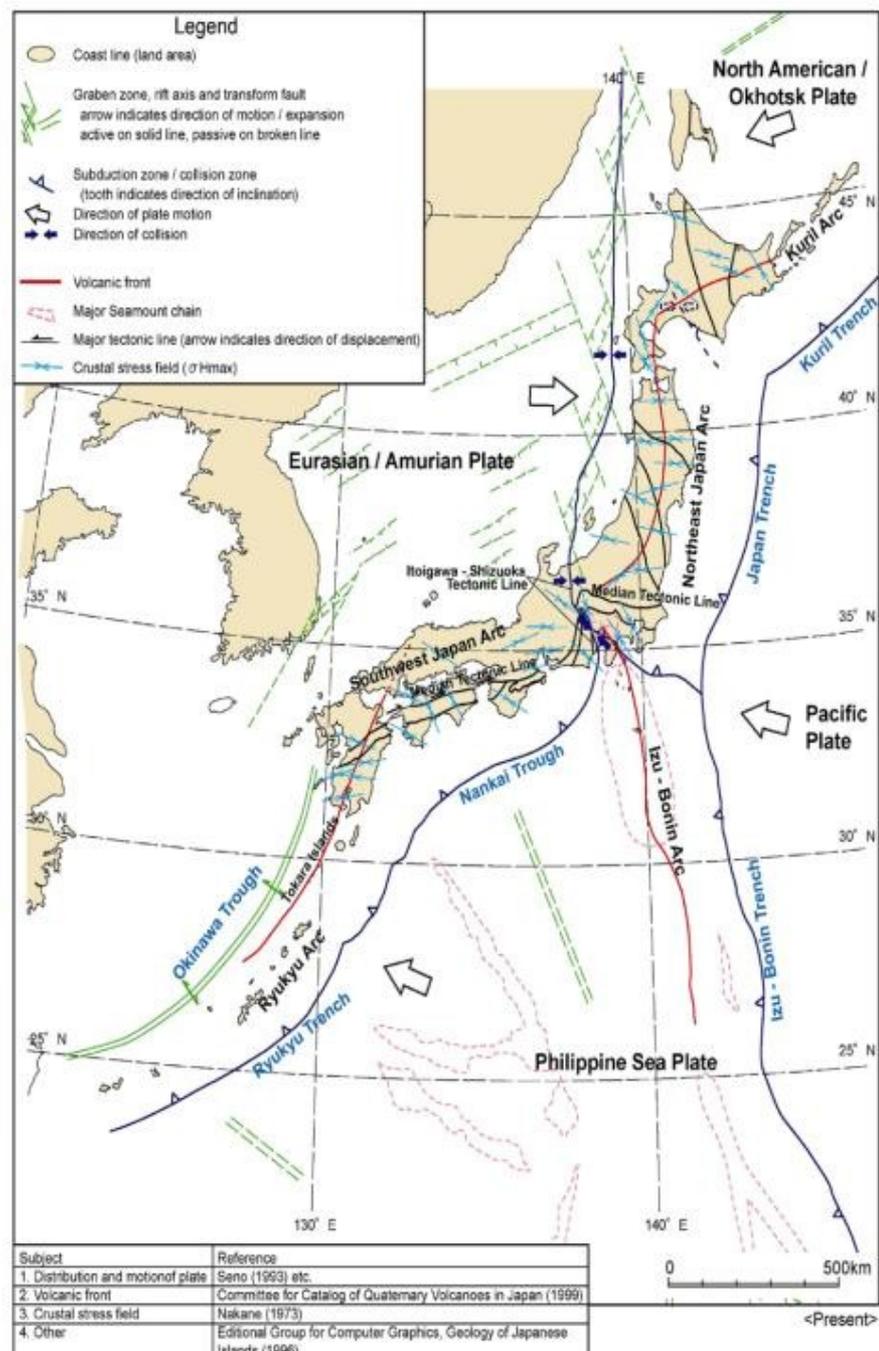
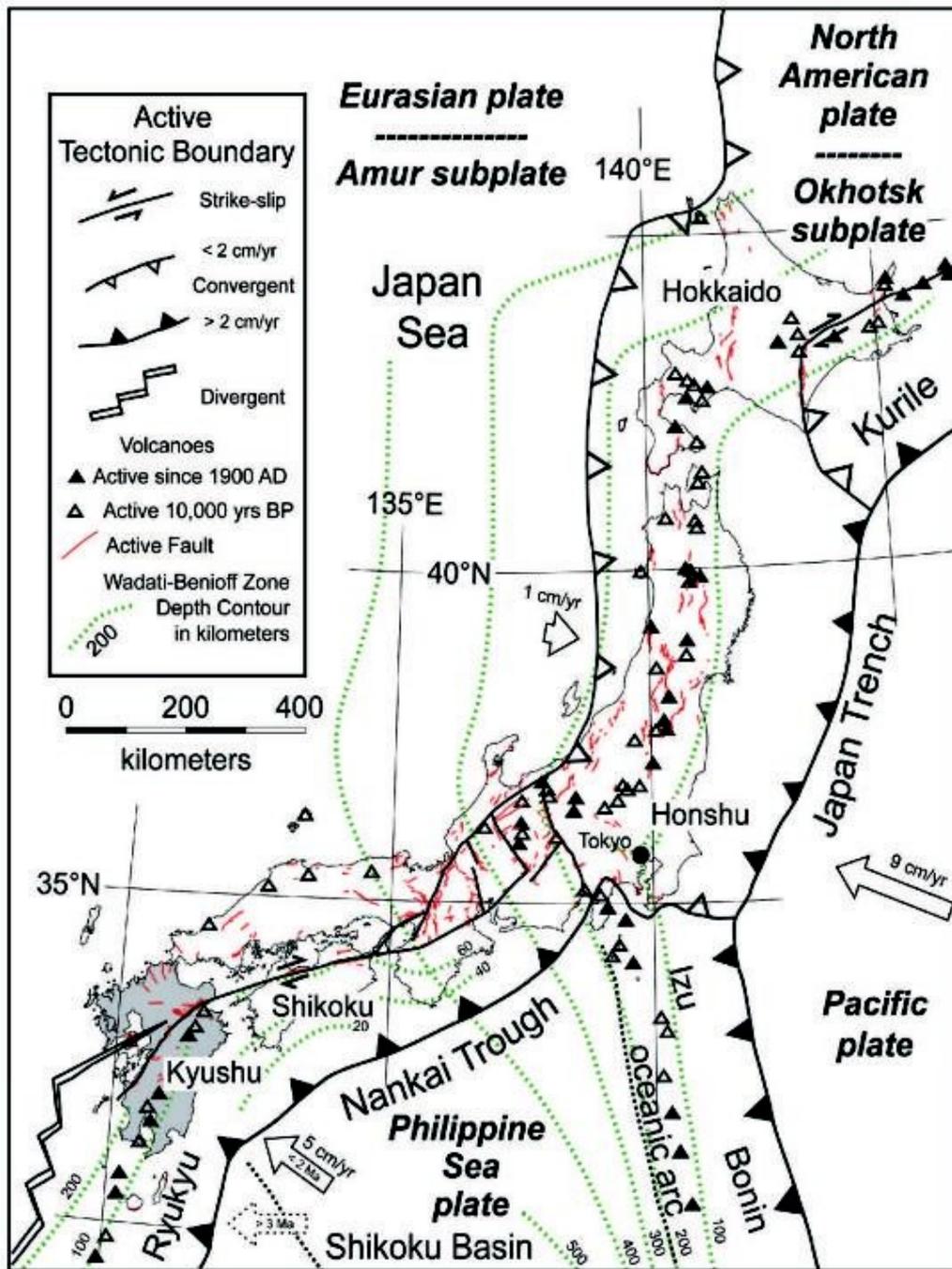


**Earthquake related fatalities in India and adjoining regions with respect to the global observations**





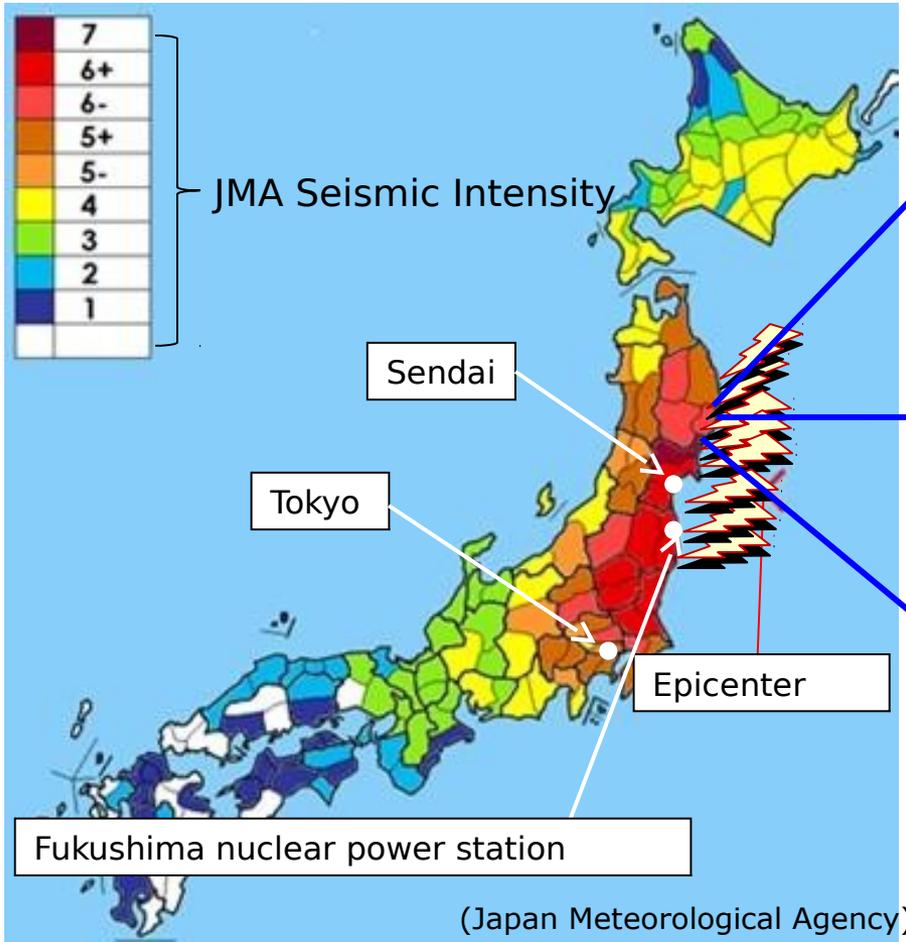
# Tectonic and Plates around Japan with



| Subject                             | Reference   |
|-------------------------------------|---|
| 1. Distribution and motion of plate | Seno (1993) etc.  |
| 2. Volcanic front                   | Committee for Catalog of Quaternary Volcanoes in Japan (1999)             |
| 3. Crustal stress field             | Nakane (1973)   |
| 4. Other                            | Editorial Group for Computer Graphics, Geology of Japanese Islands (1996) |

# The Great East Japan Earthquake & Tsunami

- Date and Time: 11 March 2011 (FRI) 14:46 JST (05:46 UTC)
- Magnitude: **9.0 (the largest magnitude recorded in Japan's history)**
- Epicenter: N38.1, E142.9 (130km ESE off Oshika Peninsula) Depth 24km



Miyako (Iwate)  
Run-up height: 38m\*



Otsuchi (Iwate)  
Run-up height: 17m\*



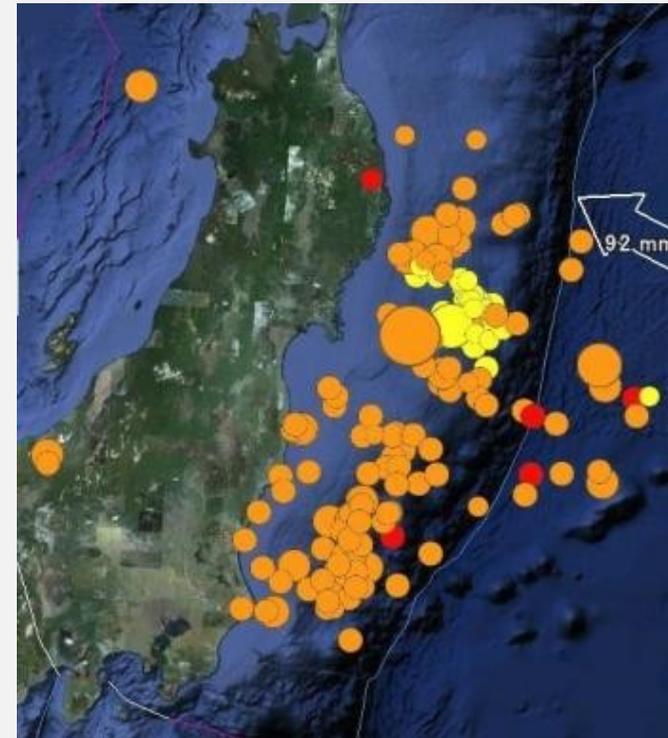
Kesenuma (Miyagi)  
Run-up height: 20m\*

\* The 2011 Tohoku Earthquake Tsunami Joint Survey Group  
(<http://www.coastal.jp/>)

Some time Seismic Hazard maps fail because of

- bad physics (incorrect description of earthquake processes)
- bad assumptions (mapmakers' choice of poorly known parameters)
- bad data (lacking, incomplete, or underappreciated)
- bad luck (low probability events)

**2011 Tohoku Earthquake**  
**450 km long fault, M 9.1**



Off Sanriku-oki North ~M8  
 0.2 to 10%

Off Sanriku-oki Central ~M7.7  
 80 to 90%

Off Miyagi ~M7.5 > 90%

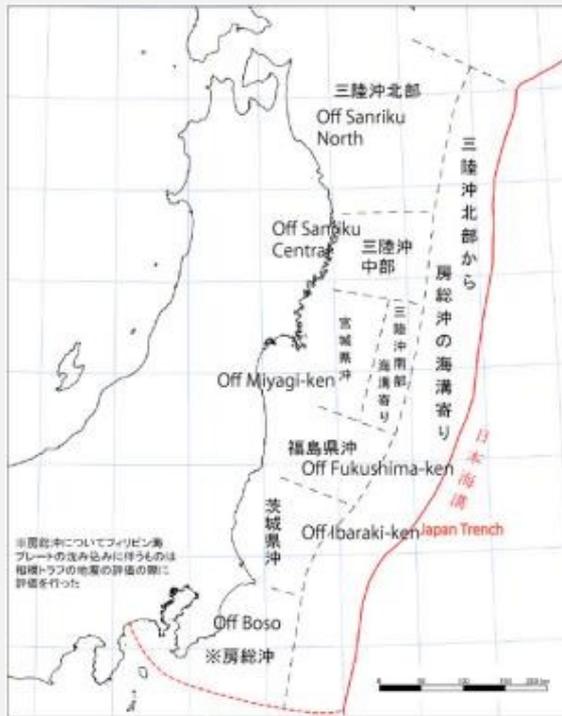
Off Fukushima ~M7.4 7%

Off Ibaraki ~M6.7 – M7.2  
 90%

**Assumption: No M**

**> 8.2**  
 Sanriku to Boso M8.2 (plate  
 boundary) 20%

Sanriku to Boso M8.2 (Intraplate)  
 4-7%



**Expected Earthquake Sources**  
**50 to 150 km segments: M7.5 to 8.2**

(Headquarters for Earthquake Research  
 Promotion, Japan)

Barjeshwari Devi temple Kangra



Earth Fissures, Kangra Bhawan



## 4<sup>th</sup> April 1905 Kangra Earthquake

- Magnitude:  $M_w=7.8$
- Time : 6.19 a.m
- Death Toll: 20,000

Predicted shaking intensity from the 1905 Kangra earthquake (Hough et al., 2005)



Chari village near Dharamsala



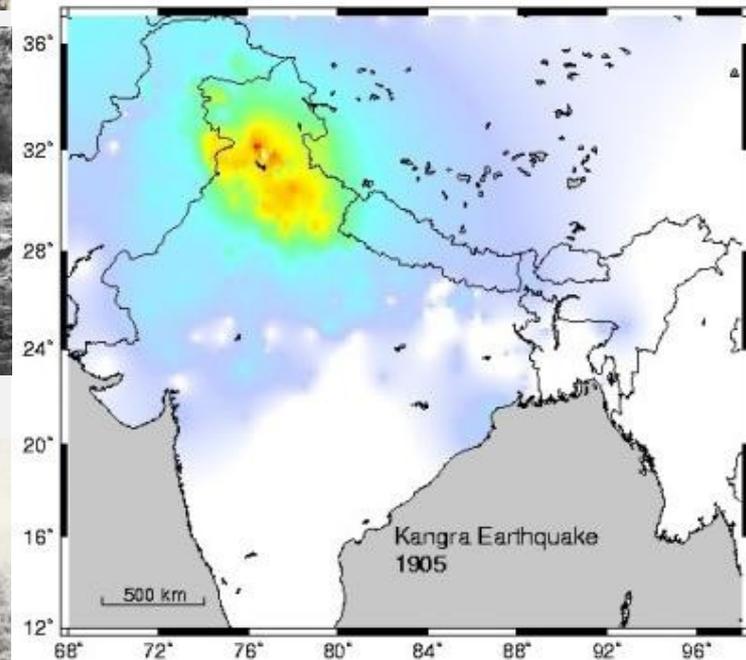
Area below Kangra Bhawan



Palampur market after earthquake



Palampur church after earthquake



| PERCEIVED SHAKING      | Not felt | Weak    | Light   | Moderate   | Strong | Very strong | Severe         | Violent | Extreme    |
|------------------------|----------|---------|---------|------------|--------|-------------|----------------|---------|------------|
| POTENTIAL DAMAGE       | none     | none    | none    | Very light | Light  | Moderate    | Moderate/Heavy | Heavy   | Very Heavy |
| PEAK ACC.(mg)          | < 0.1    | 0.1-1.1 | 1.1-3.4 | 3.4-9.2    | 9.2-18 | 18-34       | 34-66          | 66-124  | > 124      |
| PEAK VEL.(cm/s)        | < 0.1    | 0.1-1.1 | 1.1-3.4 | 3.4-8.1    | 8.1-16 | 16-31       | 31-66          | 66-116  | > 116      |
| INSTRUMENTAL INTENSITY | I        | II-III  | IV      | V          | VI     | VII         | VIII           | IX      | X          |

Source: <http://123himachal.com/dharamsala/links/1905.htm>

# Where do earthquakes form?

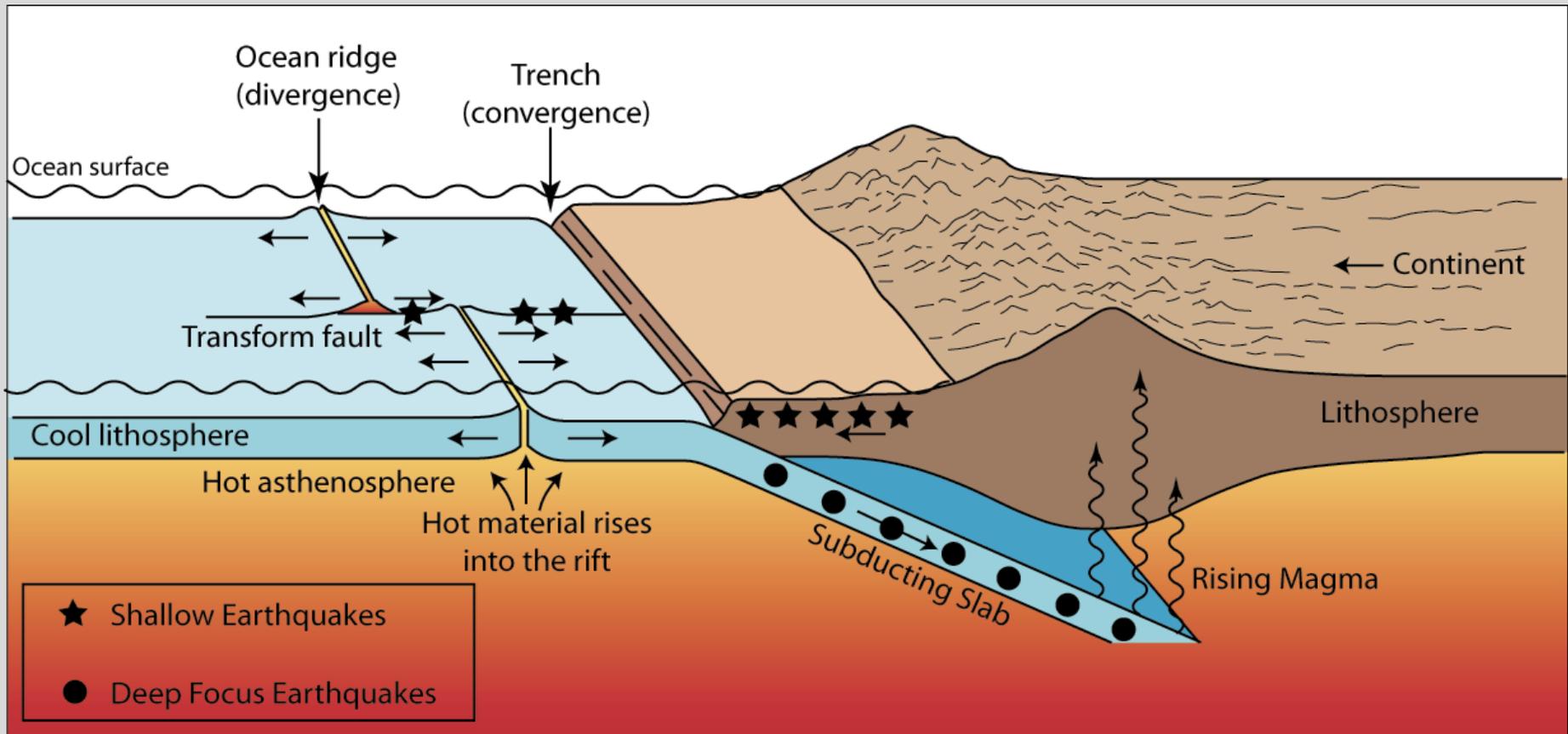
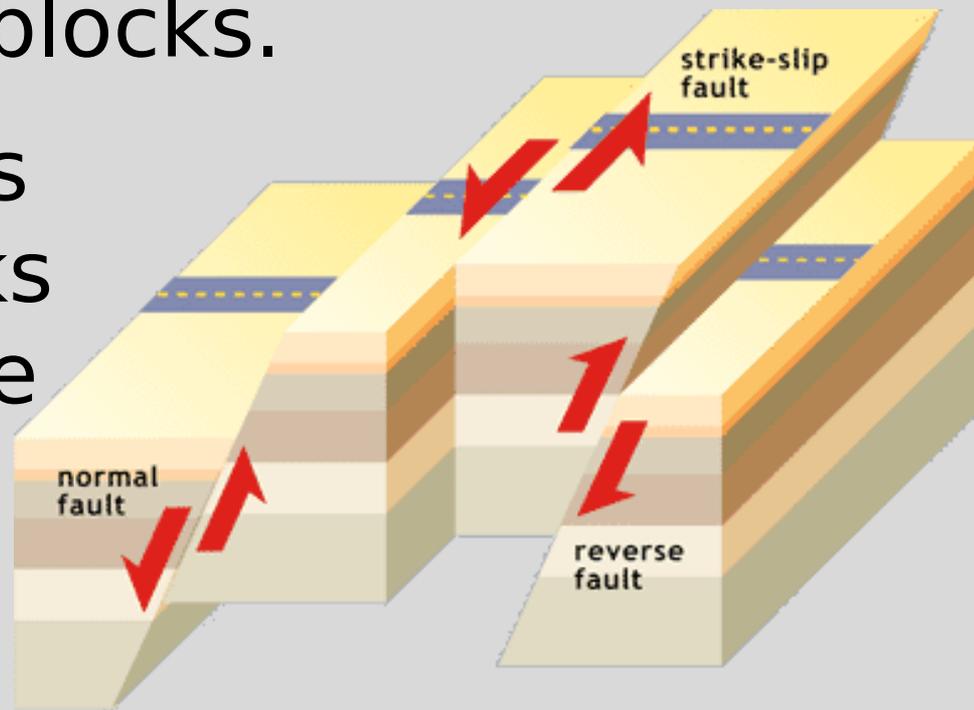


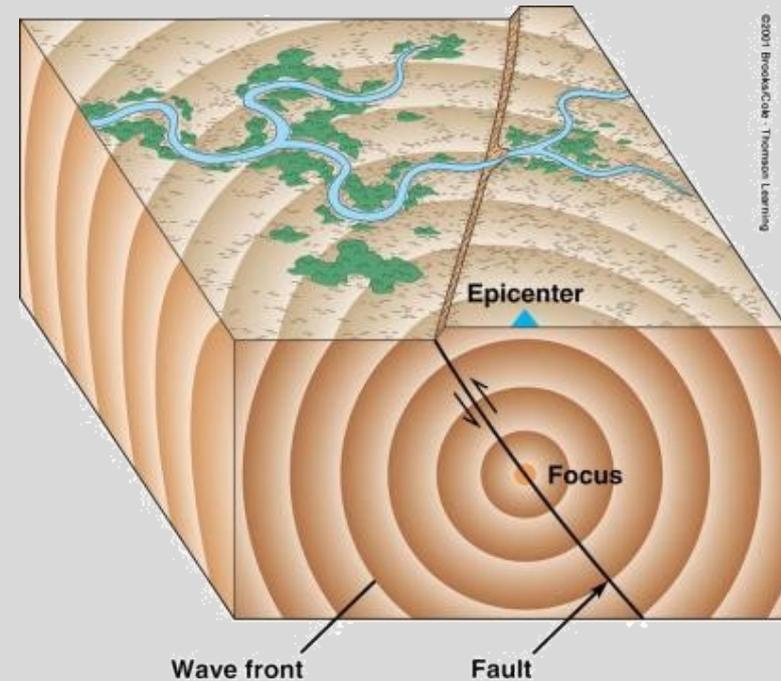
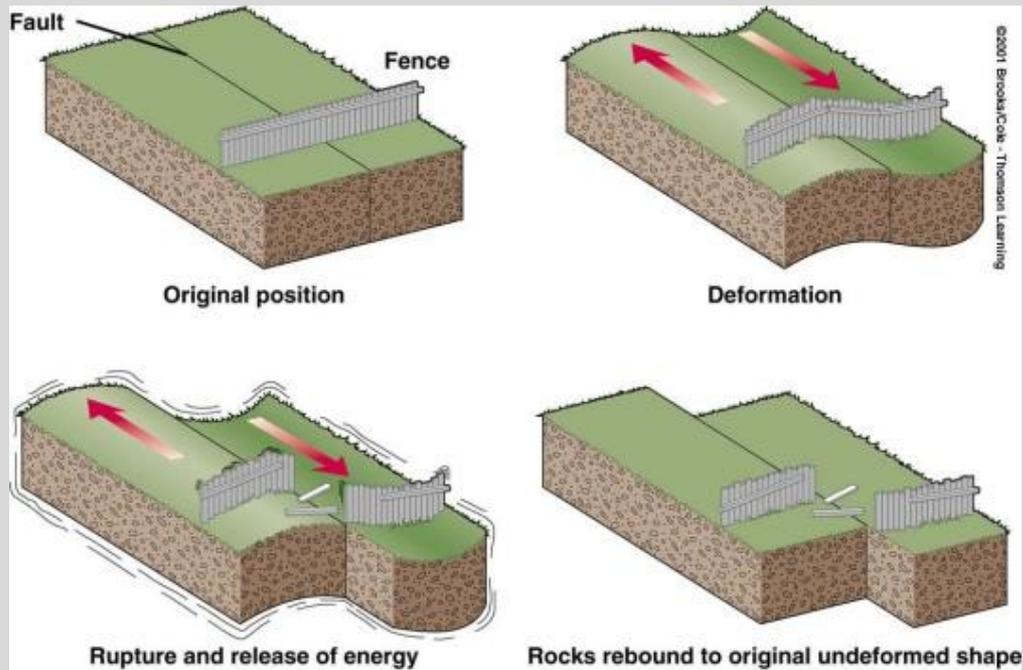
Figure showing the tectonic setting of earthquakes

# How earthquakes occur?

- Earthquakes occur at **FAULTS**.
- Fault is a weak zone separating two geological blocks.
- Tectonic forces cause the blocks to move relative one to another.

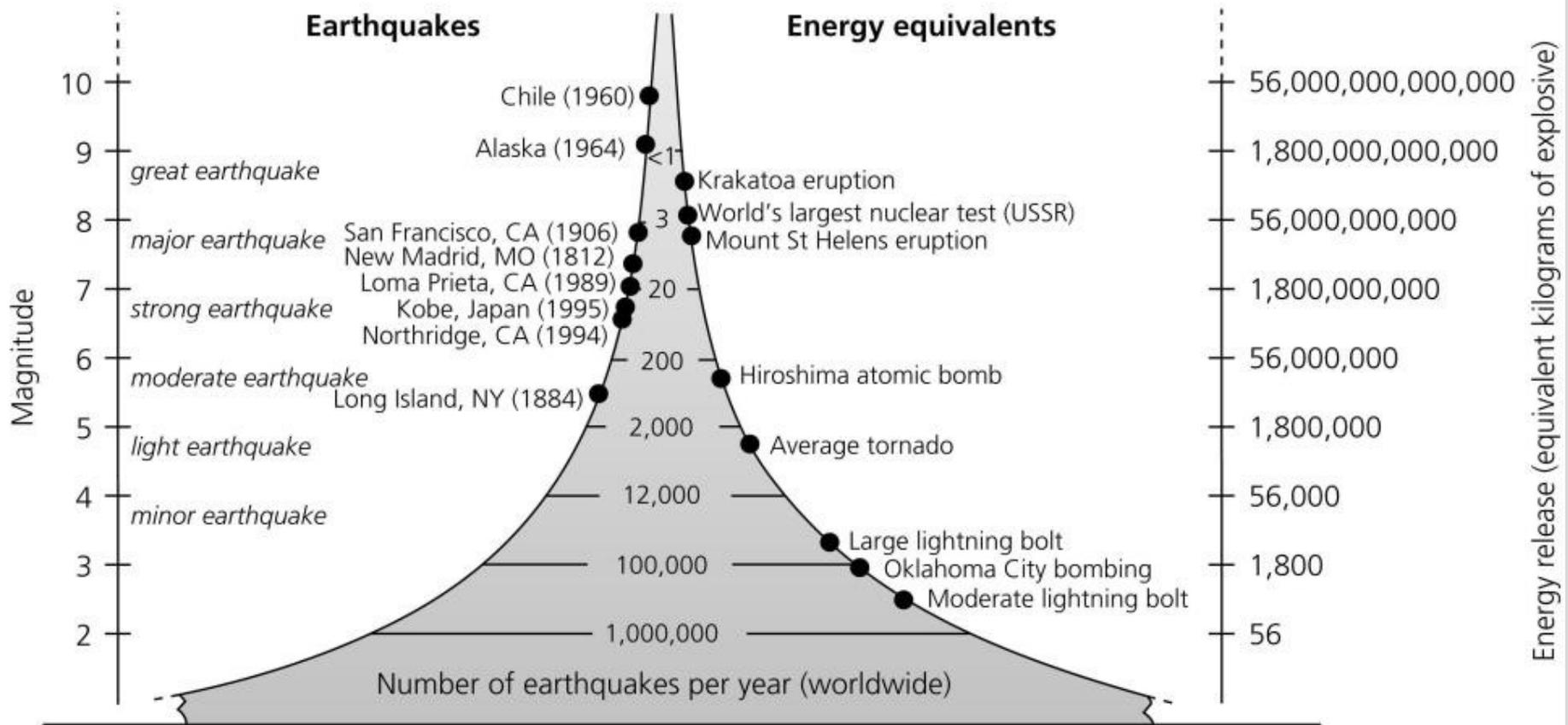


# How earthquakes occur? Elastic rebound theory



- Because of friction, the blocks do not slide, but are deformed.
- When the stresses within rocks exceed friction, rupture occurs.
- Elastic energy, stored in the system, is released after

**Figure 1.2-2: Comparison of frequency, magnitude, and energy release.**

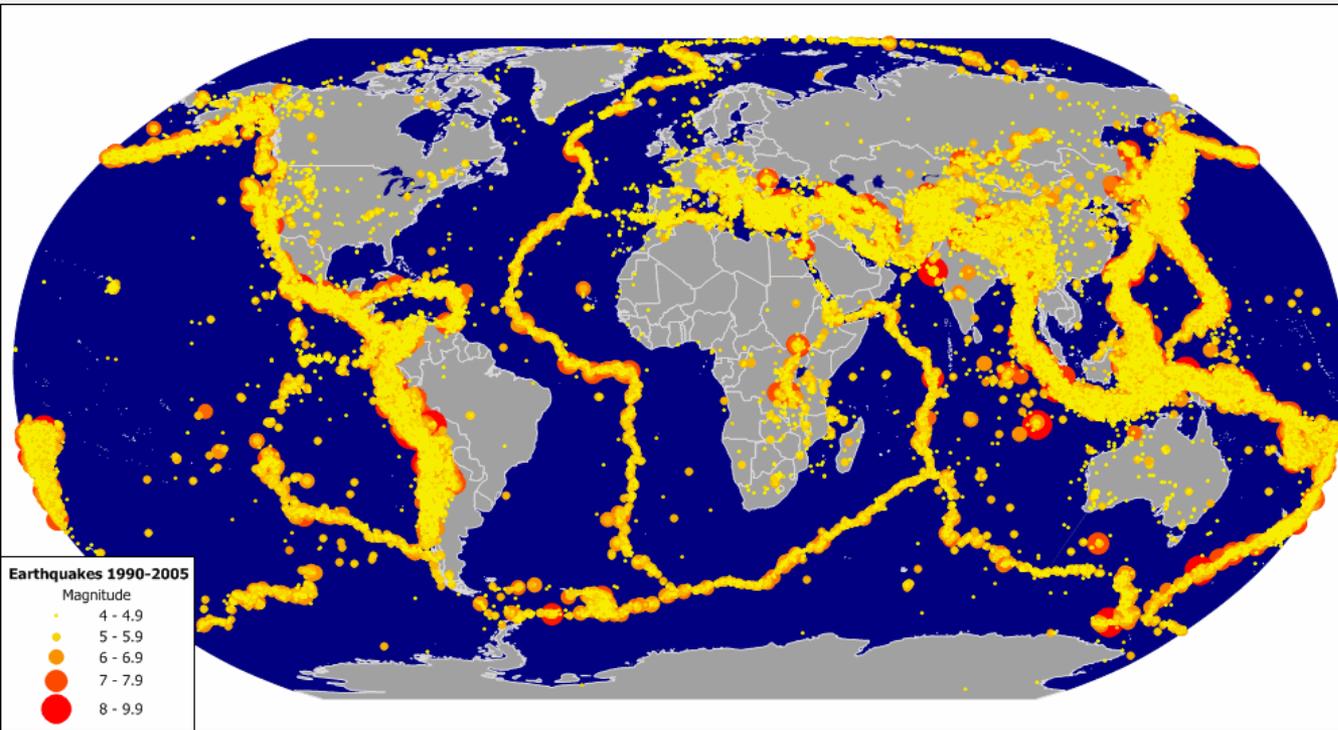


Earthquakes of a given magnitude are ~10 times less frequent than those one magnitude smaller. An M7 earthquake occurs approximately monthly, and an earthquake of M> 6 about every three days. Hence although earthquake predictor I. Browning claimed to have predicted the 1989 Loma Prieta earthquake, he said that near a date there would be an M6 earthquake somewhere, a prediction virtually guaranteed to be true.

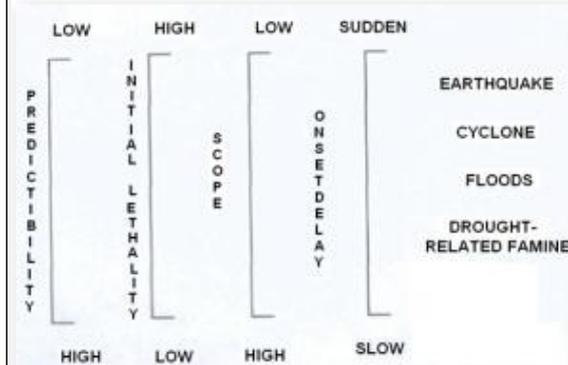
Magnitude is proportional to the logarithm of the energy released, so most energy released seismically is in the largest earthquakes. An M 8.5 event releases more energy than all other earthquakes in a year combined. Hence the hazard from earthquakes is due primarily to large (typically magnitude > 6.5) earthquakes.

# Global Earthquake Scenario

## World Seismicity



Ranking of principal Disaster on relative scales of predictability, Initial lethality, Scope and Onset delay



## Loss of Life versus Population: 1947-1980

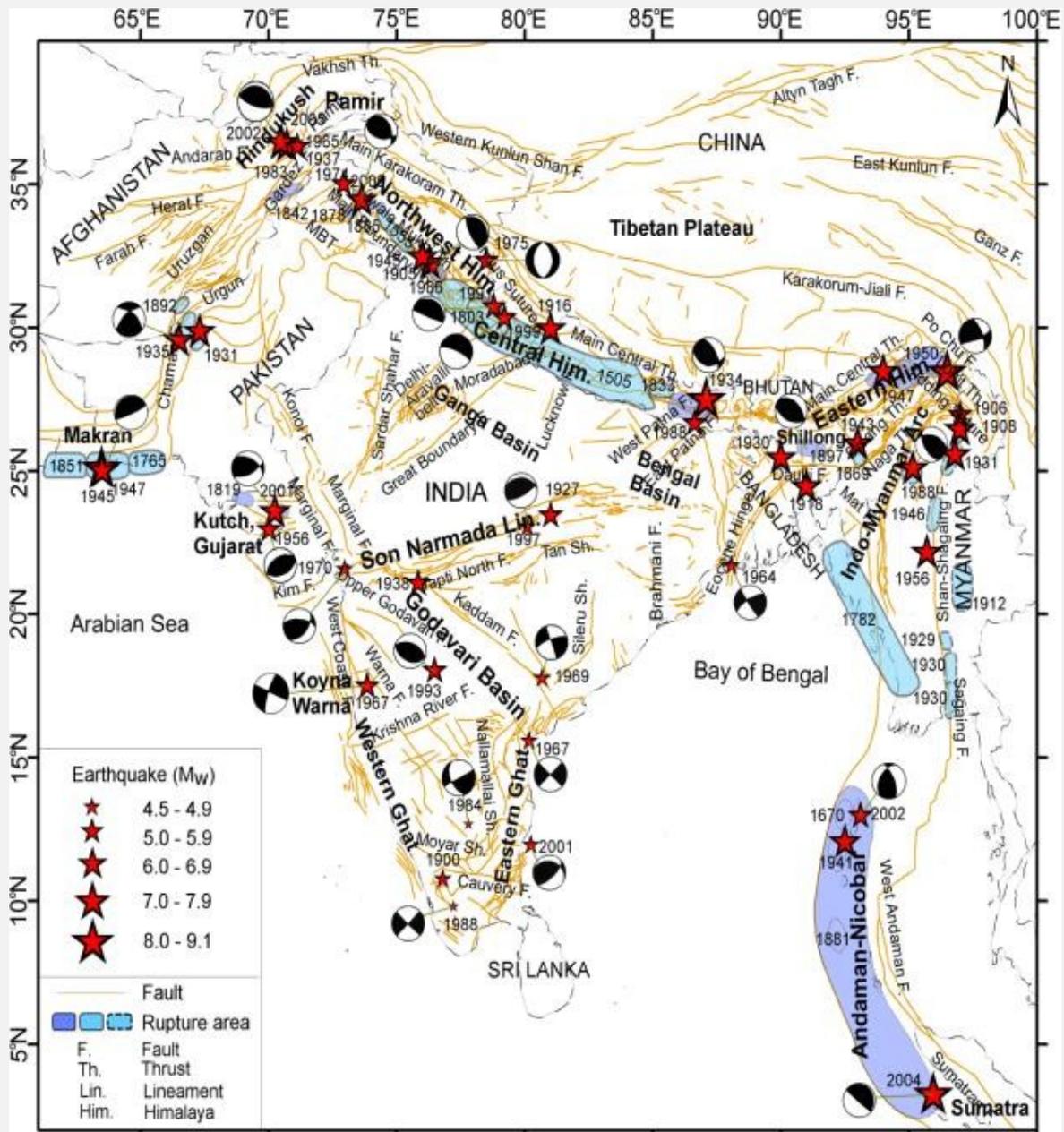
| # of events | 180-earthquake | 7-tsunami | 18-volcanic |
|-------------|----------------|-----------|-------------|
| N. America  | 77             | 60        | 96          |
| Carr./C.A.  | 30,613         |           | 151         |
| S. America  | 38,837         |           | 440         |
| Europe      | 7,750          |           | 2,000       |
| Asia        | 354,521        | 4,459     | 2,805       |

## Since 1980- major events

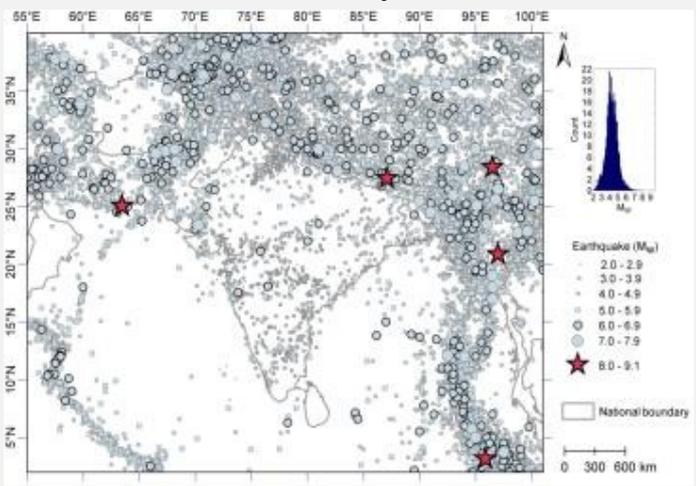
| # of events | Earthquakes | Tsunami | Volcanic |
|-------------|-------------|---------|----------|
| N. America  | ~120        |         | ?        |
| Mex./Carr.  | 288,000     |         | ?        |
| S. America  | 38,837      |         | 23,000   |
| Europe      | ? 26-Italy  |         | ?        |

Source: <http://www.usgs.gov/>

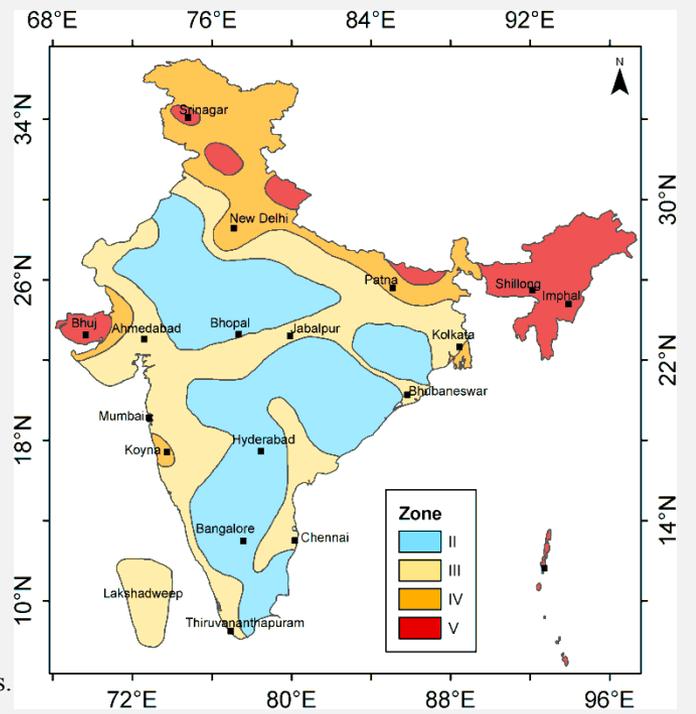
# A seismotectonic map of India and the adjoining regions



## Declustered seismicity of South Asia

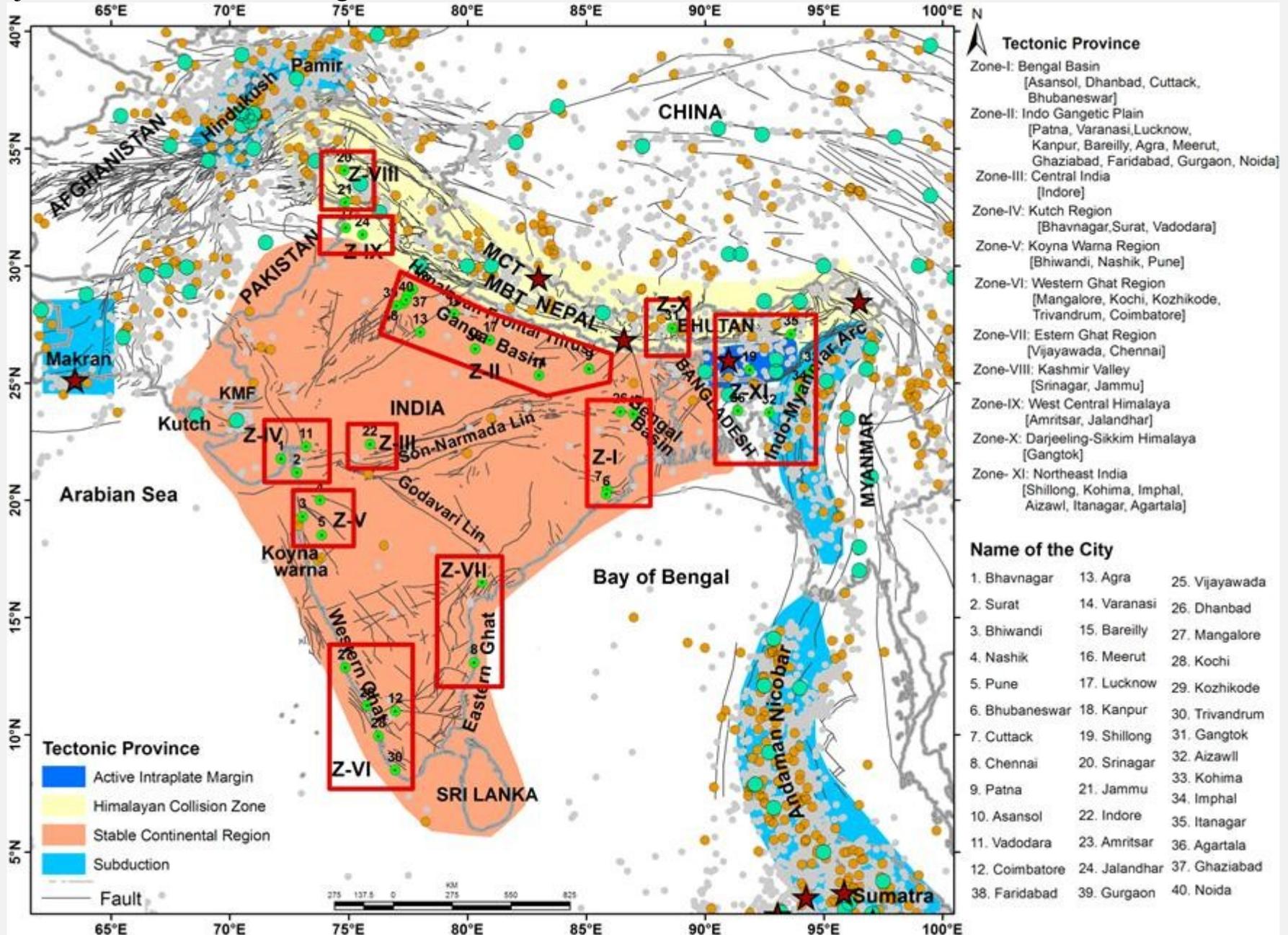


## Seismic zonation map (BIS, 2002).



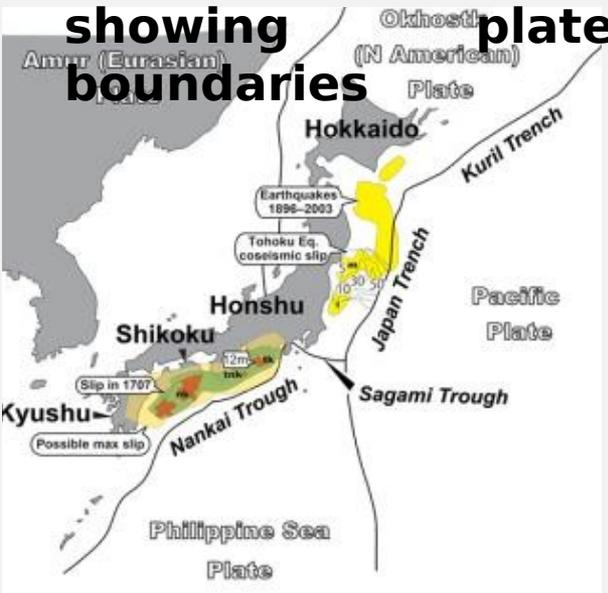
Citation: Nath, S. K. and Thingbaijam, K. K. S.: Probabilistic seismic hazard assessment of India, Seismol. Res. Lett., 83, 135-149, 2012.

# Major tectonic Province along with 40 cities which considered for Probabilistic Seismic Hazard Assessment

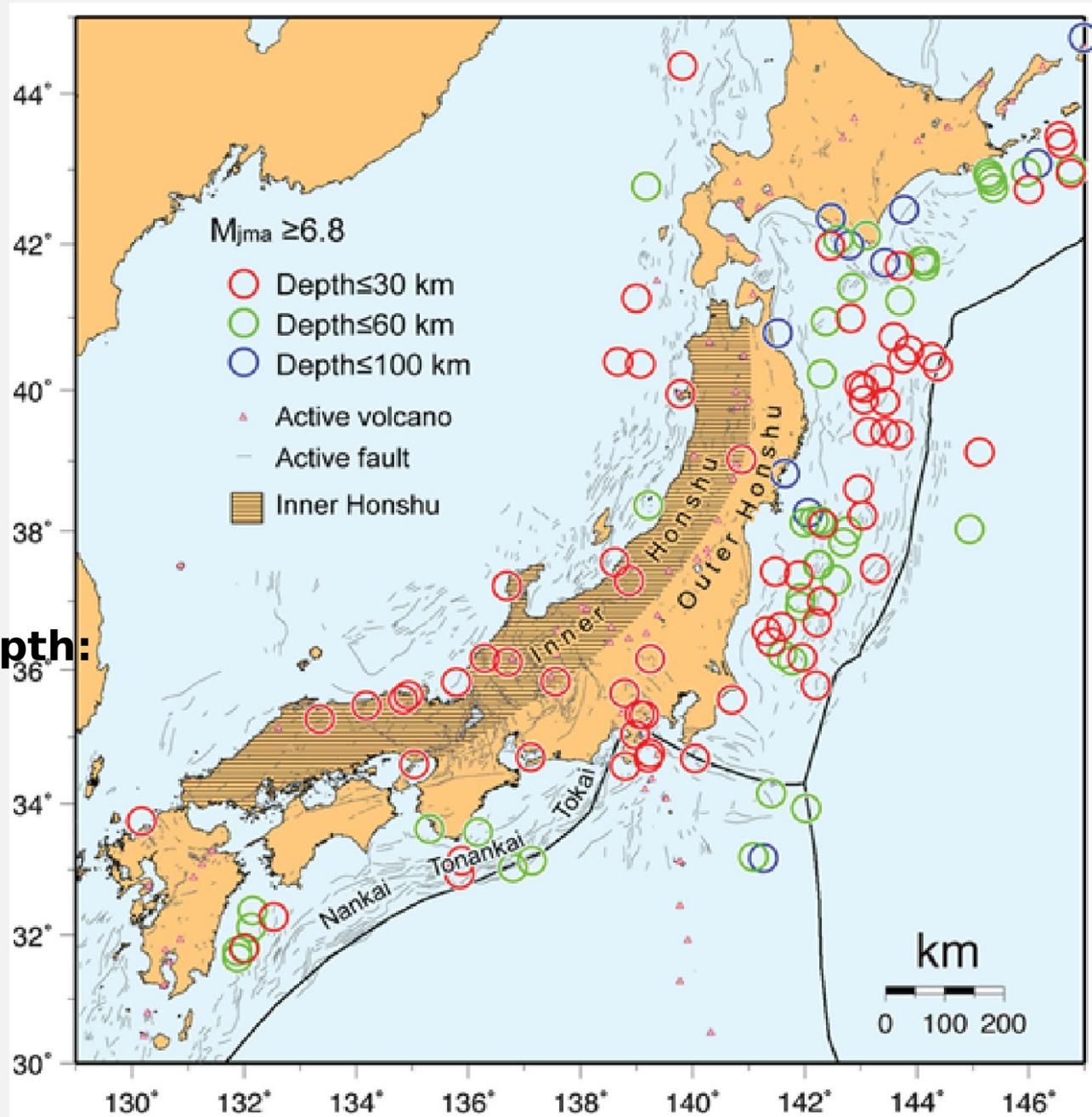
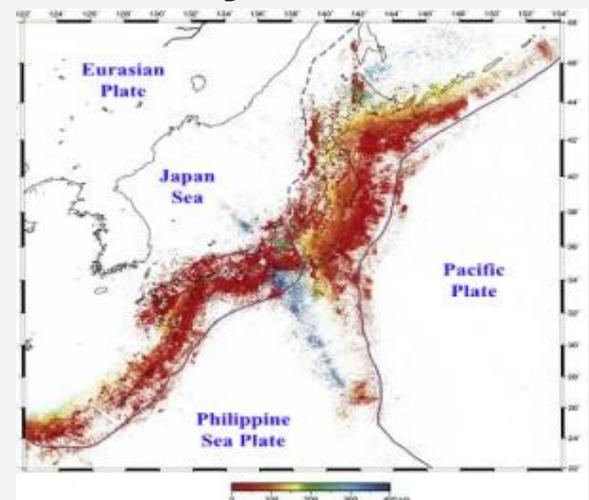


# Distribution of earthquakes in and around the Japan

Outline map of Japan and surrounding areas showing plate boundaries

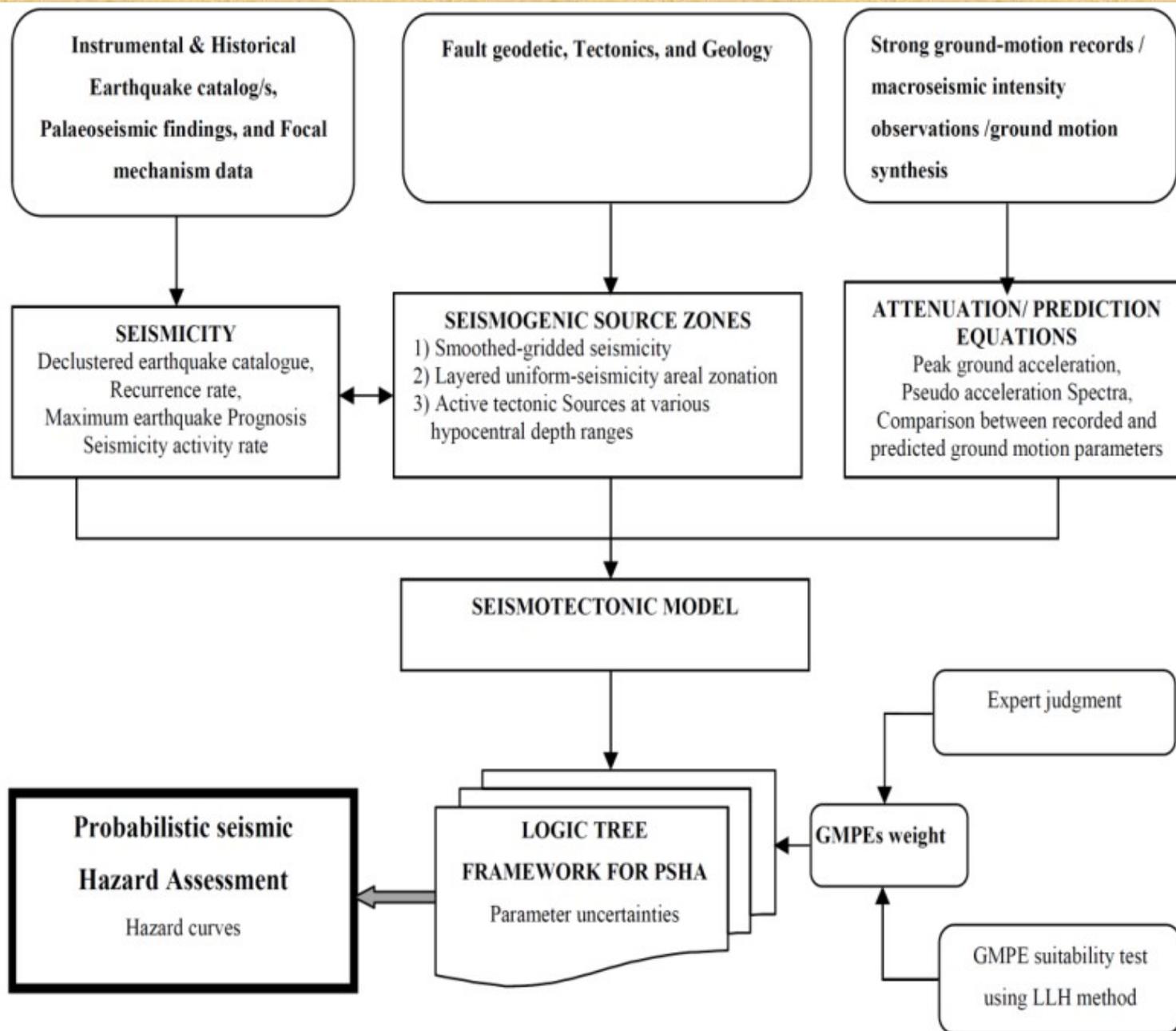


Seismicity with Focal Depth:



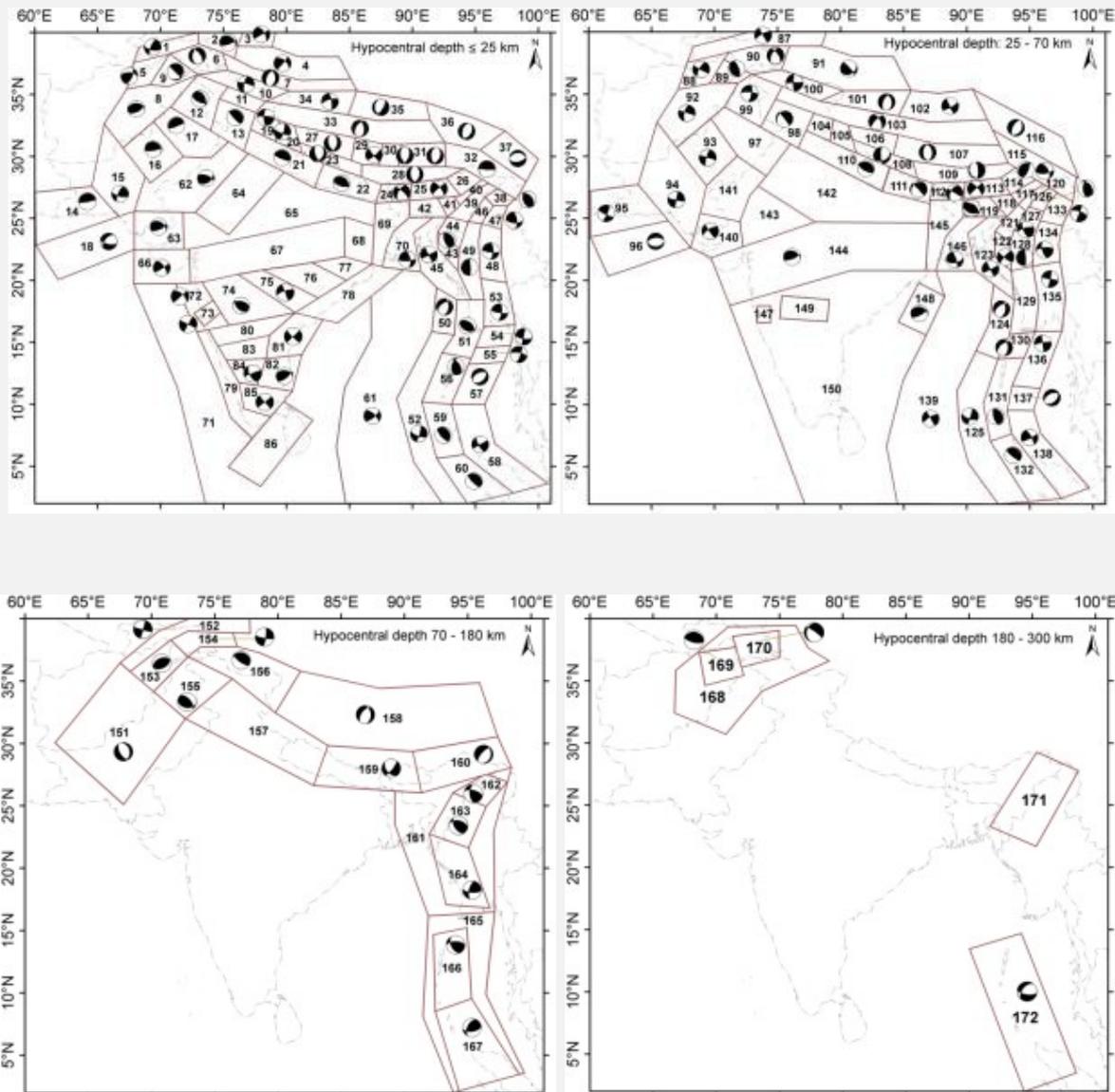
Source: Thomas et al., 2012

# Probabilistic Seismic Hazard Analysis:



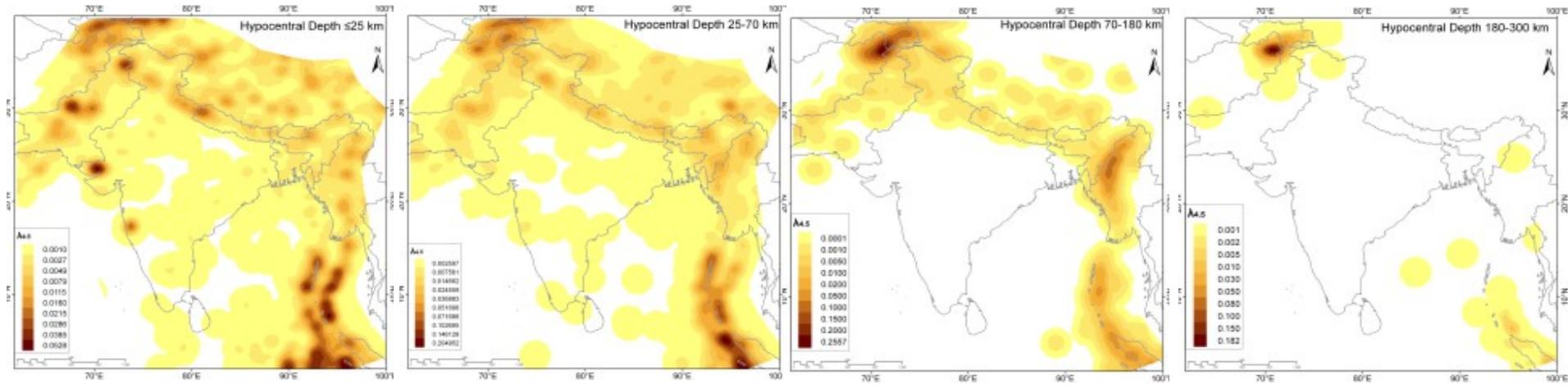
## Layered Seismogenic Source Zones:

- Based on the hypocentral depth of occurring seismic events of various magnitudes four Layered seismogenic sources corresponding to hypocentral depth ranges: 0–25 km, 25–70 km, 70–180 km, and 180–300 km are considered.
- The source zonation is carried out on the basis of seismicity, fault patterns, and type of focal mechanisms demarcating 172 source zones.
- Representative focal mechanism evaluated for each zone by summation of moment tensor data

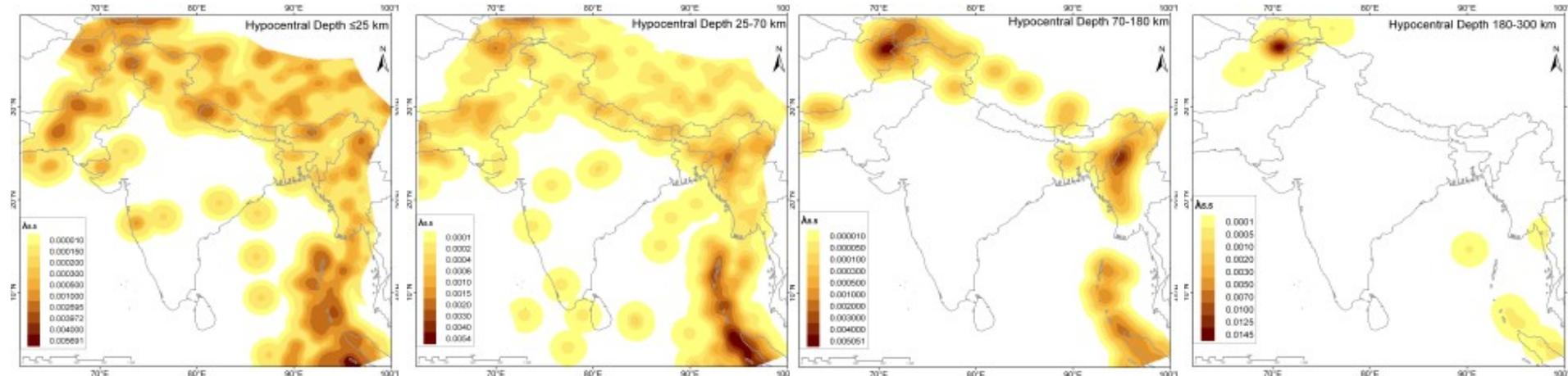


[Citation: Nath, S. K., and K. K. S. Thingbaijam (2012). Probabilistic seismic hazard assessment of India, *Seismological Research Letter* **83**, 135–149]

## Smoothed seismicity models for threshold magnitudes Mw 4.5 at four hypocentral depth ranges of 0-25km, 25-70km, 70-180 km and 180-300km indicating the seismic activity distribution



## Smoothed seismicity models for threshold magnitudes Mw 5.5 at four hypocentral depth ranges of 0-25km, 25-70km, 70-180 km and 180-300km indicating the seismic activity distribution



# Seismicity Parameters

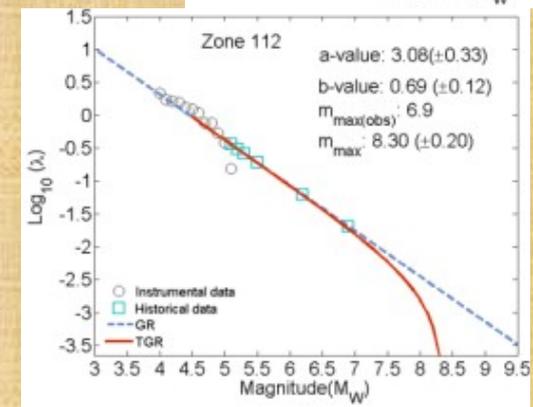
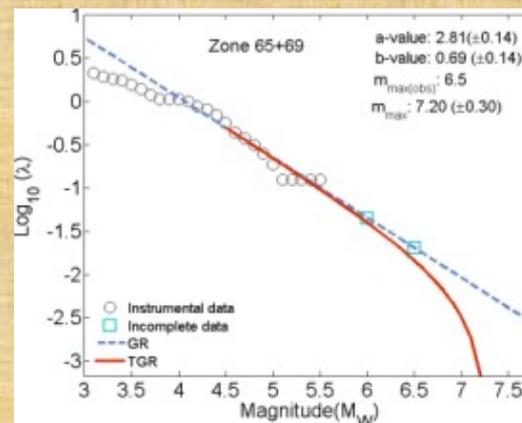
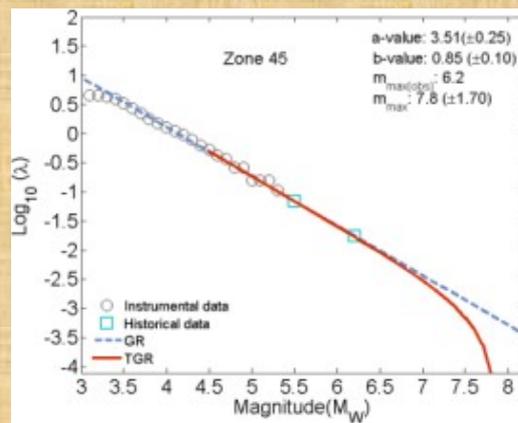
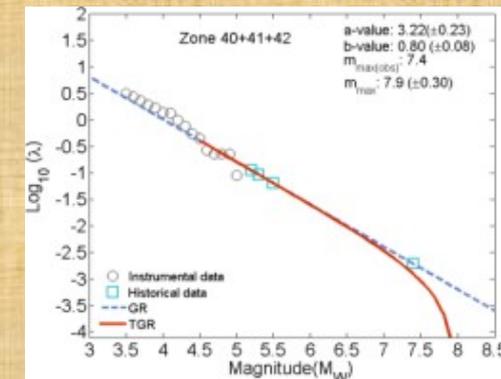
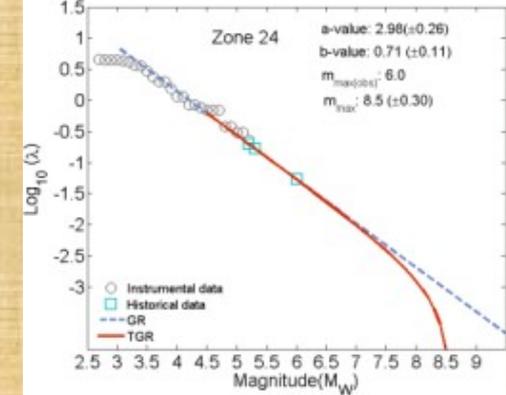
Gutenberg and Richter (GR) relationship (Gutenberg and Richter 1944 )

$$\log_{10} \lambda(m) = a - bm$$

A modified version (Cornell and Vanmarcke 1969 )

$$\lambda(m) = \lambda(m_{\min}) \frac{10^{-b(m-m_{\min})} - 10^{-b(m_{\max}-m_{\min})}}{1 - 10^{-b(m_{\max}-m_{\min})}}$$

$\lambda(m)$  is the cumulative number of events with magnitude  $\geq m$ , b-value is the slope parameter.



Representative frequency magnitude distribution plots at some typical polygonal seismogenic sources: The red line represents Truncated Gutenberg-Richter (TGR) relation, the blue line representing Gutenberg-Richter (GR) relation while the circles & squares represent the instrumental events (complete data coverage) and incomplete data (including the historical data as extreme data coverage) respectively.

# Ground Motion Prediction Equation:

## 1. Atkinson and Boore , 2006. (BA06):

$$\text{LogPSA} = C_1 + C_2M + C_3M^2 + (C_4 + C_5M)f_1 + (C_6 + C_7M)f_2 + (C_8 + C_9M)f_0 + C_{10}R_{cd}$$

**Where**

$$f_0 = \max(\log(R_0 / R_{cd}), 0); f_1 = \min(\log R_{cd}, \log R_1);$$
$$f_2 = \max(\log(R_{cd} / R_2); R_0 = 10; R_1 = 70; R_2 = 140;$$

## 2. Campbell and Bozorgnia, 2003. (CB03):

$$\ln Y = c_1 + f_1(M_w) + c_4 \ln \sqrt{f_2(M_w, r_{seis}, S) + f_3(F) + f_4(S)}$$

**Where**

$$f_1(M_w) = c_2M_w + c_3(8.5 - M_w)^2,$$

$$f_2(M_w, r_{seis}, S) = r_{seis}^2 + g(S)^2 (\exp[c_8M_w + c_9(8.5 - M_w)^2])^2,$$

$$g(S) = c_5 + c_6(S_{VFS} + S_{SR}) + c_7S_{FR},$$

$$f_3(F) = c_{10}F_{RV} + c_{11}F_{TH},$$

$$f_4(S) = c_{12}S_{VFS} + c_{13}S_{SR} + c_{14}S_{FR}$$

$S_{VFS}=1$  (Firm soil),  $S_{SR}=1$  (Soft rock),

$S_{FR}=1$  (Firm rock),  $S_{VFS}=S_{SR}=S_{FR}=0$  (Firm soil).

$F_{TH}=1$  (Thrust faulting),  $F_{RV}=1$

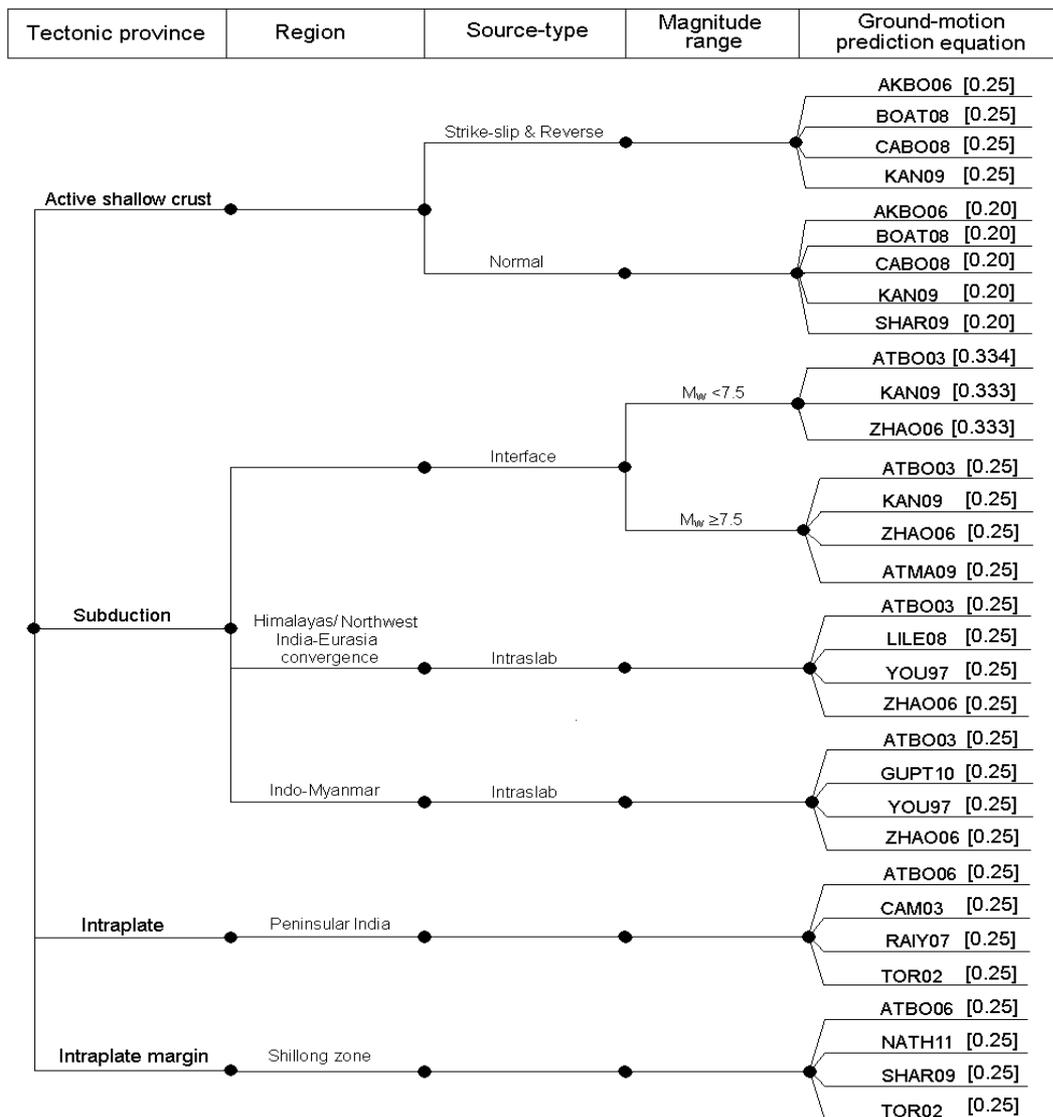
(Reverse),  $F_{RV}=F_{TH}=0$  (Strike slip and normal)

# Typical Ground Motion Prediction Equations used for Seismic Hazard Estimation in Indian conditions developed for various tectonic provinces

| Sl. No. | Region   | Relationship   | Reference                    |
|---------|--|--|------------------------------|
| 1.      | Sikkim Himalaya, Shallow active tectonic crust             | <p>First order attenuation relation:</p> $\ln(Y) = -3.6 + 0.72M - 1.08 \ln r + 0.007r$ <p>Where 'Y' is the strong motion parameter (PGA), 'M' is earthquake magnitude, 'r' is a measure of source to site distance.</p> $\ln(PGA) = \ln(SA) - a_1 - (a_2 - a_3M) + a_4h - a_5 \ln(SR)$ <p>Second order attenuation relation: Where 'h' is the site elevation, 'SR' the site response, 'SA' spectral acceleration at respective frequencies.</p>  | Nath <i>et al.</i> (2005)    |
| 2.      | Peninsular India, SCR                                      | $\ln(y_{br}) = C_1 + C_2(M - 6) + C_3(M - 6)^2 - \ln(r) - C_4r + \ln(\epsilon_{br})$ <p>Where <math>C_1, C_2, \dots, C_4</math> are regression coefficients, <math>y_{br} = (Sa/g)</math> stands for the ratio of spectral acceleration at bedrock level to acceleration due to gravity, 'M' and 'r' refers to moment magnitude and hypocentral distance respectively, <math>\ln(\epsilon_{br})</math> is the error term.</p>  | Raghukanthand Iyengar (2007) |
| 3.      | Himalayas and Zagros region, Shallow active tectonic crust | $\log A = b_1 + b_2M_w - b_3 \log \sqrt{R_{jb}^2 + b_4^2} + b_5S + b_6H$ <p>Where <math>b_1, b_2, \dots, b_6</math> are regression coefficients, 'A' is the spectral acceleration in terms of m/sec<sup>2</sup>, 'S' is 1 for rock site and 0 otherwise, 'H' is 1 for strike slip mechanism and 0 for reverse mechanism, 'M<sub>w</sub>' is the magnitude and 'R<sub>jb</sub>' represents the distance to the surface projection of the rupture.</p>   | Sharma <i>et al.</i> (2009)  |
| 4.      | Gujarat, SCR   | $\ln(Y) = -7.9527 + 1.4043M_w - \ln(r_{jb}^2 + 19.822)^{1/2} - 0.0682S$ <p>For <math>3.1 &lt; M_w \leq 7.7</math> std.dev. (<math>\sigma</math>): <math>\pm 0.8243</math> Where 'Y' is peak horizontal acceleration in 'g', 'M<sub>w</sub>' is moment magnitude, 'r<sub>jb</sub>' is the closest distance to the surface projection of the fault rupture in kilometers, 'S' is a variable taking the values of 0 and 1 according to the local site geology, 'S' is 0 for a rock site, and, 'S' is 1 for a soil site.</p> | Mandal <i>et al.</i> (2009)  |
| 5.      | Northeast India, Active intraplate deformation             | <p>Spectral attenuation relation:</p> $\ln(PGA) = C_1 + C_2M + C_3(10-M)^2 + C_4 \ln[r_{rup} + C_5 \exp(C_6M)] + C_7S_v + C_8 \ln(SR) + C_9 \ln(SA)$ <p>Where PGA is in 'g', 'M' is the earthquake moment magnitude, 'r<sub>rup</sub>' is the rupture distance (km), 'S<sub>v</sub>' represents the effective shear wave velocity averaged over the top 30 meters overburden, 'SR' is the site response, and 'SA' is the spectral acceleration.</p>  | Nath <i>et al.</i> (2009)    |

## Ground Motion Prediction:

Select a suitable ground motion prediction (attenuation) equation valid for the study region from the list provided in Table 14B. In the event of availability of more than one relation, all the relations should be considered based on the logic tree framework indicated by Nath and Thingbaijam (2011b)



The logic tree framework for the ground motion prediction equations; the assigned weights are given inside the square brackets and the references for the codes used for the equations (after Nath and Thingbaijam 2011b).

## Computational model for PSHA:

A logic tree framework implementing the fundamental PSHA formulation developed by Cornell (1968) and Esteva (1970):

$$v_i(a > A) = \lambda_i \int_m \int_r \int_\sigma P(a > A | m, r, \sigma) f_m(m) f_r(r) f_\sigma(\sigma) dm dr d\sigma$$

$(a > A)$  : annual frequency of exceedance of ground motion amplitude  $A$ ,

$\lambda$  : the activity rate for  $i^{\text{th}}$  source

$P$ : probability of  $a$  exceeding  $A$  given magnitude  $m$  at source-to-site distance  $r$ .

$f_m(m)$

$f_r(r)$  probability density functions

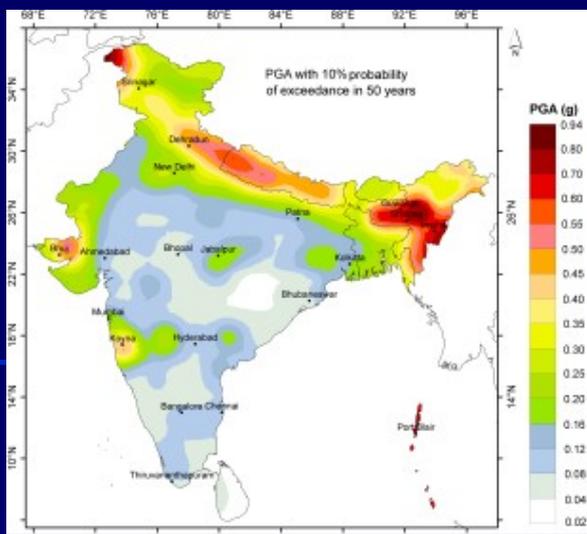
$f_\sigma(\sigma)$

$f_\sigma(\sigma)$  Log - normal distribution

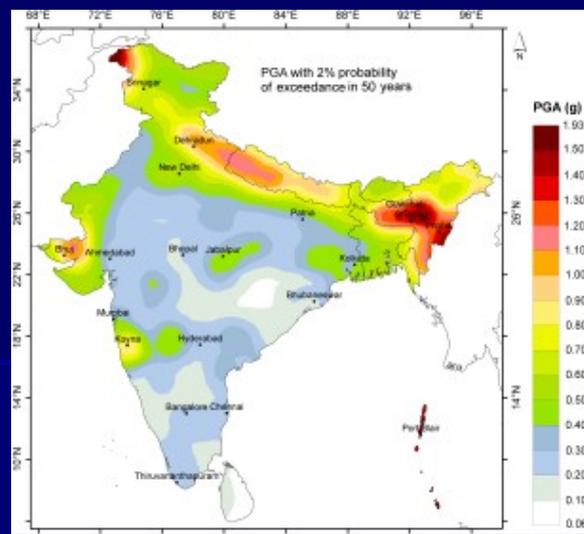
(Aleatory uncertainty)

$$f_m(m) = \frac{\beta \exp[-\beta(m - m_{\min})]}{1 - \exp[-\beta(m_{\max} - m_{\min})]}$$

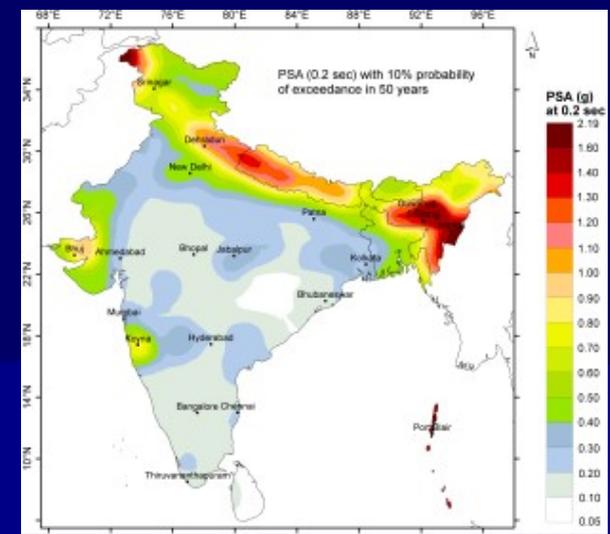
Where,  $\beta = b \ln(10)$ , and  $b$  refers to the  $b$ -value of GR relation,  $m_{\min}$  and  $m_{\max}$  is the Minimum magnitude and Maximum magnitude



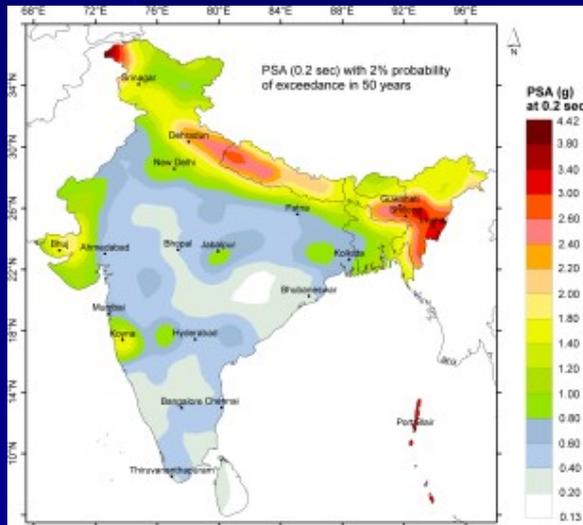
(a)



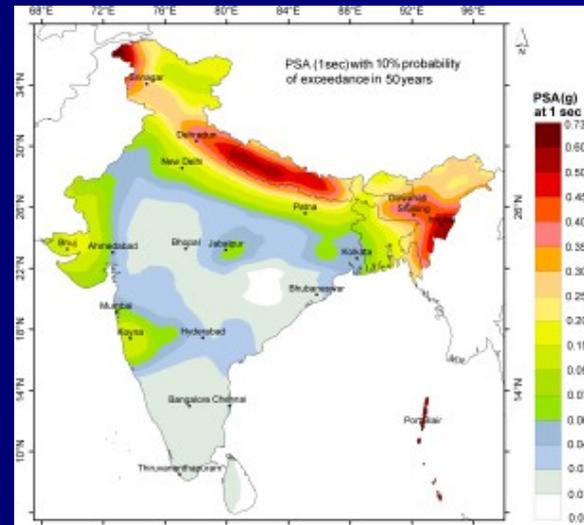
(b)



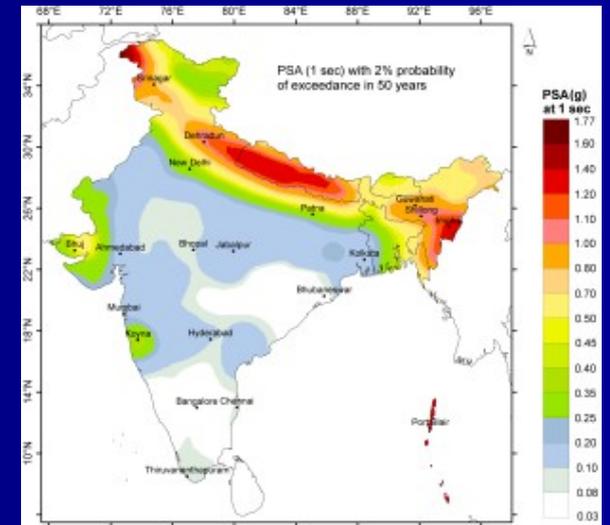
(c)



(d)



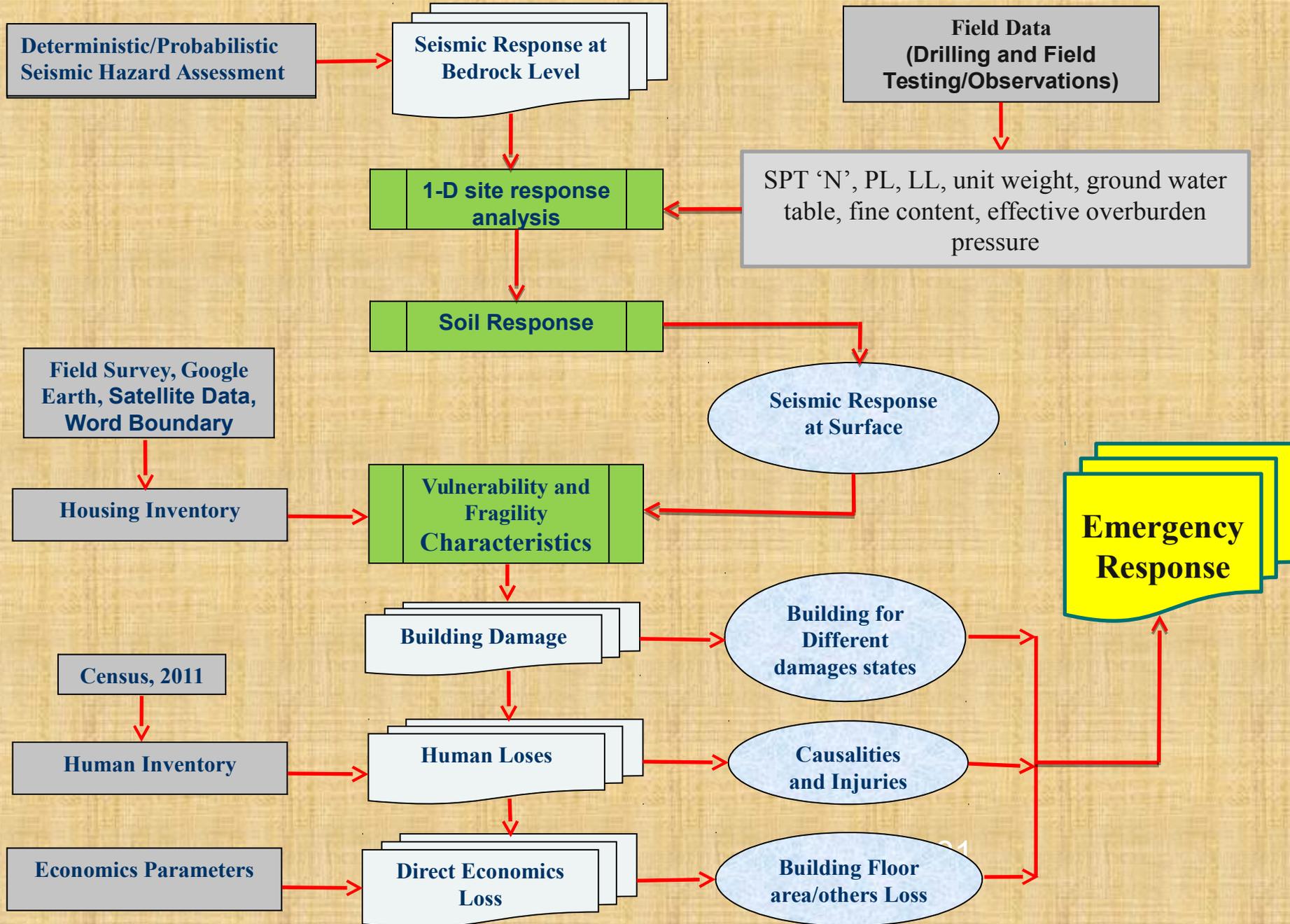
(e)



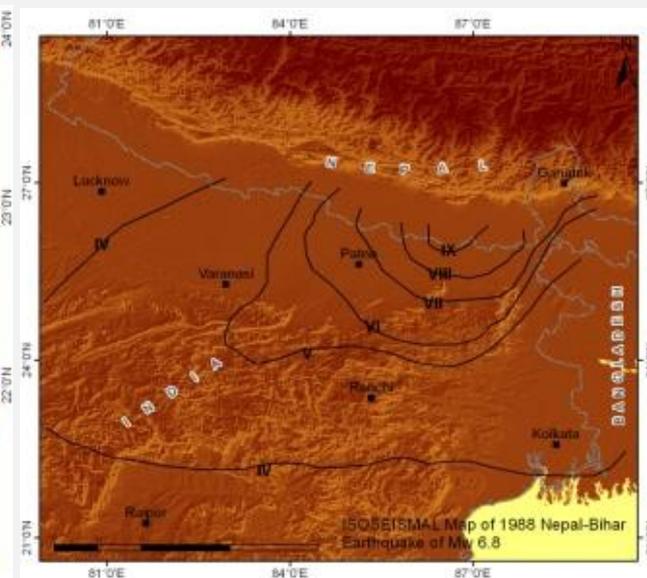
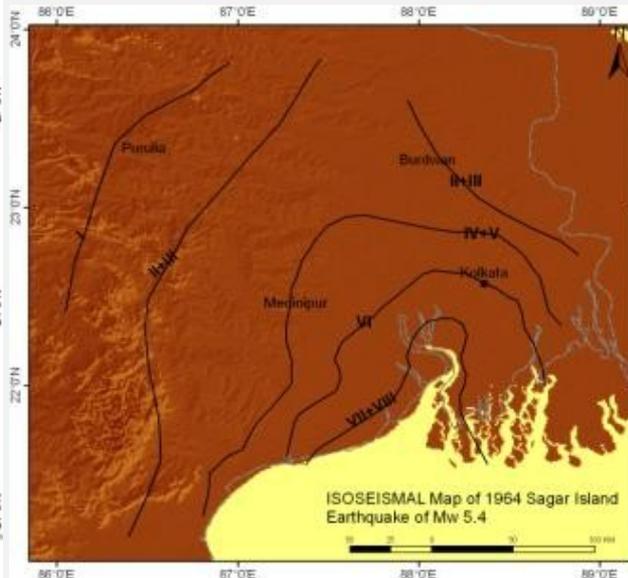
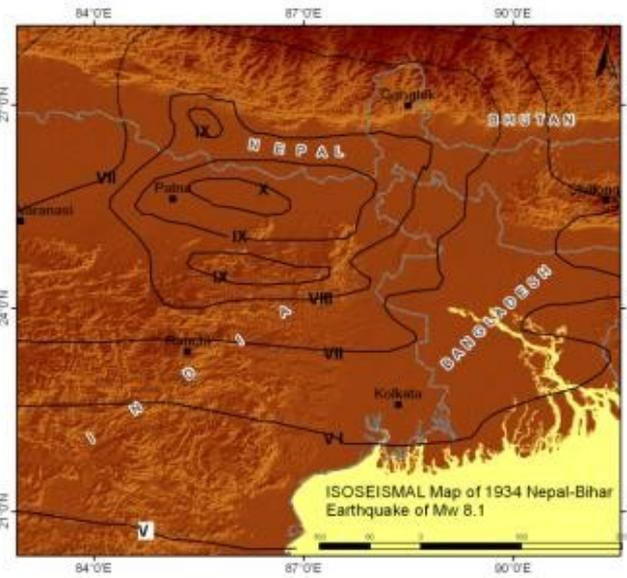
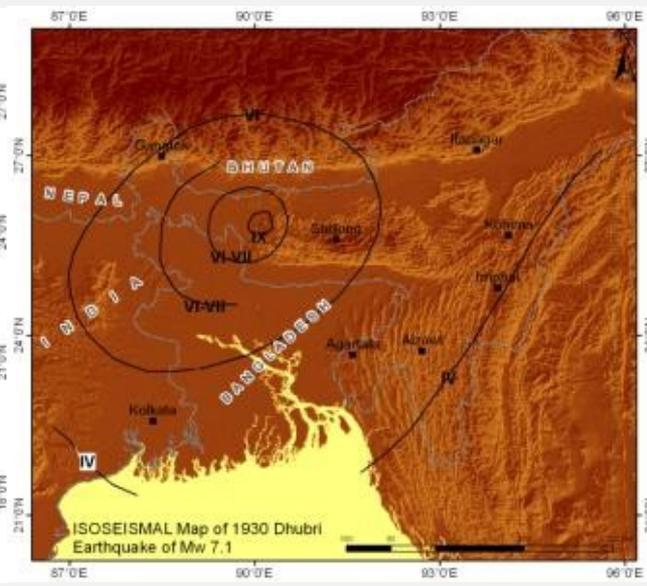
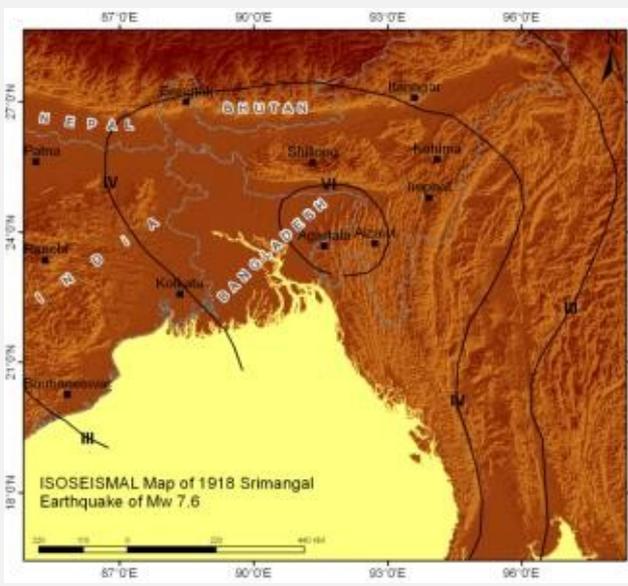
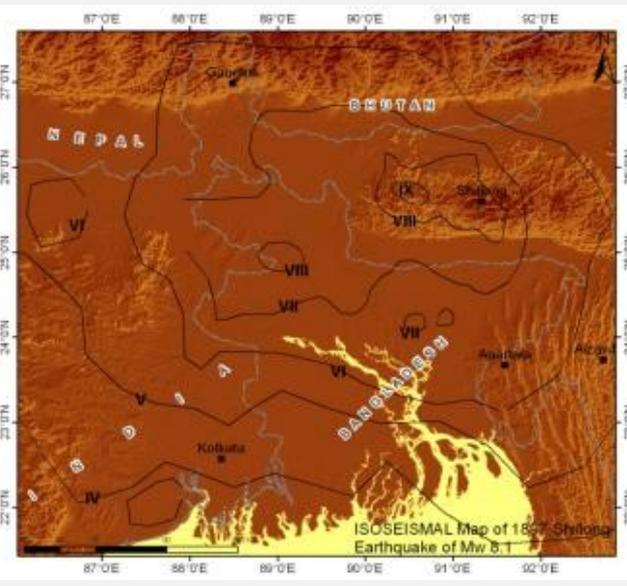
(f)

Seismic hazard distribution in India in terms of PGA, PSA at 0.2 sec. and 1sec. for firm rock site conditions. Also included in the maps are the data for Nepal, Bhutan, Bangladesh and Srilanka (after Nath and Thingbaijam 2012, SRL).

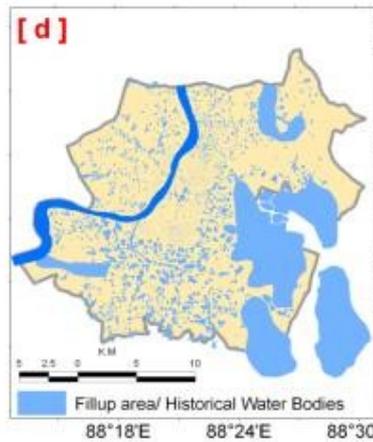
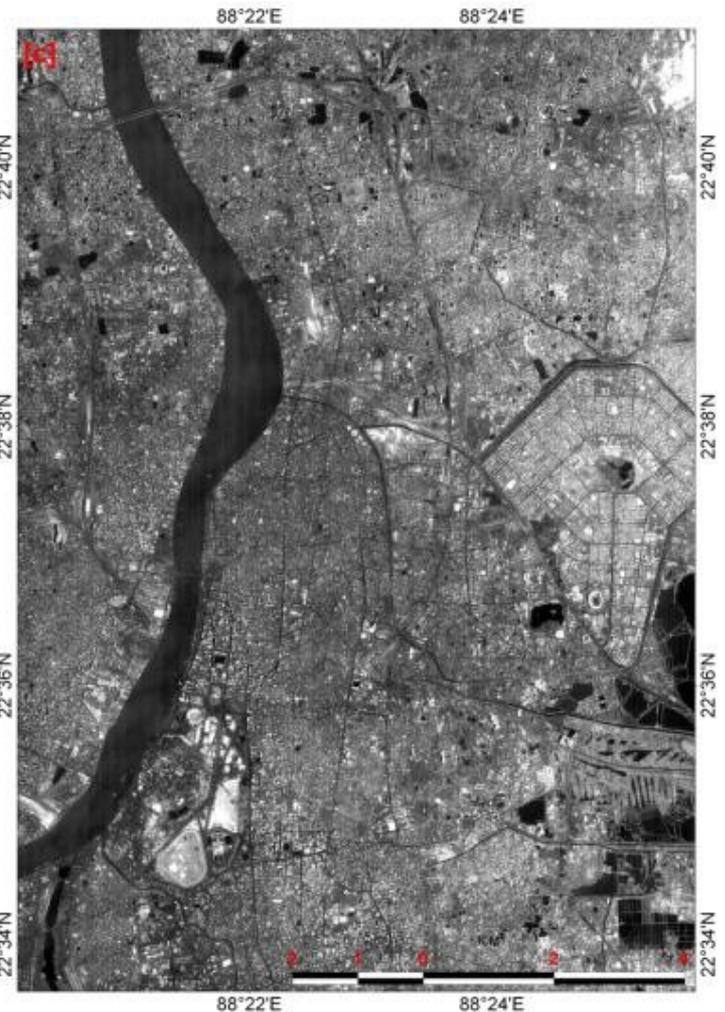
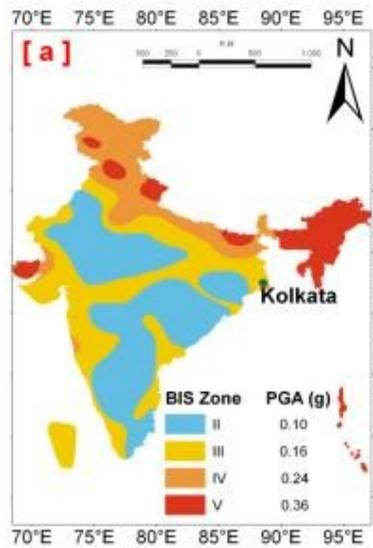
# SELENA based Seismic Vulnerability and Loss Assessment :



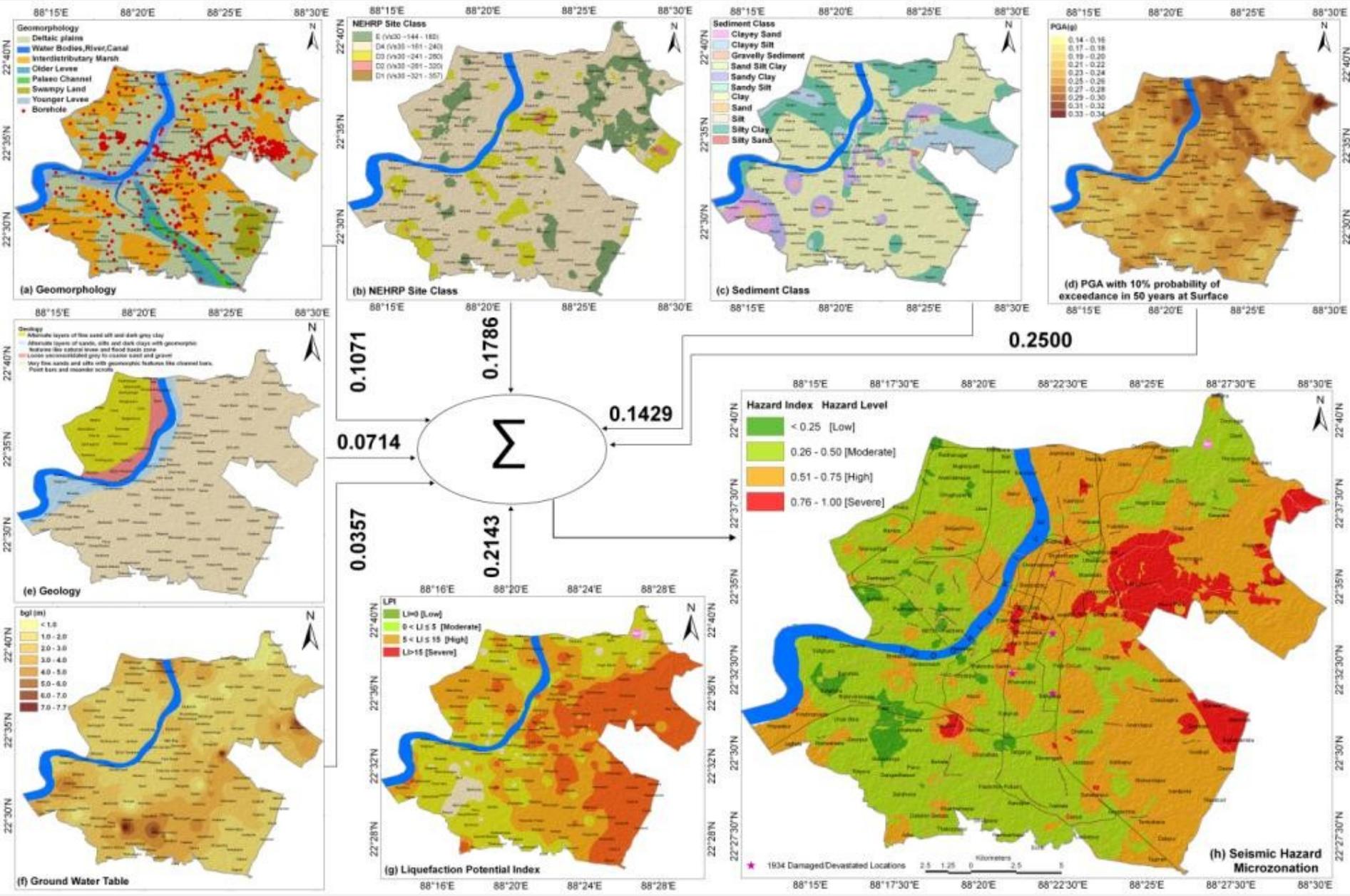
**Observed Iseismal map of Kolkata and adjoining region due to (a) 1997 Shillong Earthquake of Mw 8.1 (b) 1918 Srimangal Earthquake of Mw 7.6, (c) 1930 Dhubri Earthquake of Mw 7.1 (d) 1934 Nepal-Bihar Earthquake of Mw (e) 1964 Sagar Island Earthquake of Mw 5.4 and (f) 1988 Nepal-Bihar Earthquake of Mw 6.8.**



# Urban Kolkata, the study region of the present



# Seismic Hazard Microzonation protocol for Kolkata

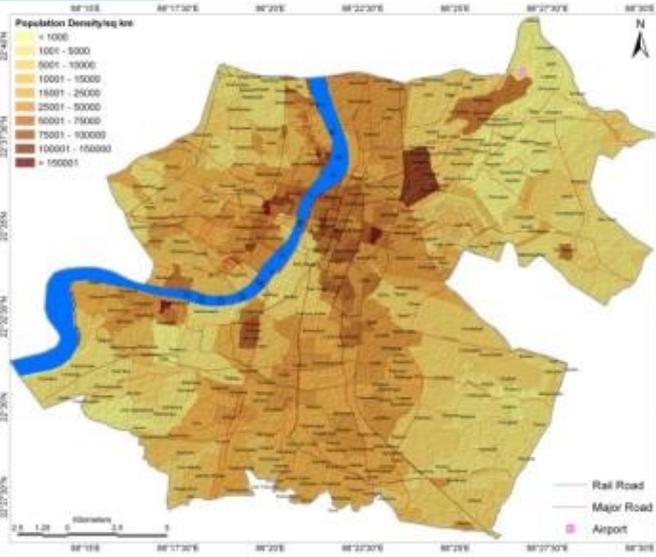


# The proposed Zone Factors (ZF) for Seismic Hazard subzones in Kolkata with corresponding Design Response Spectra and Liquefaction Susceptibility (LS)

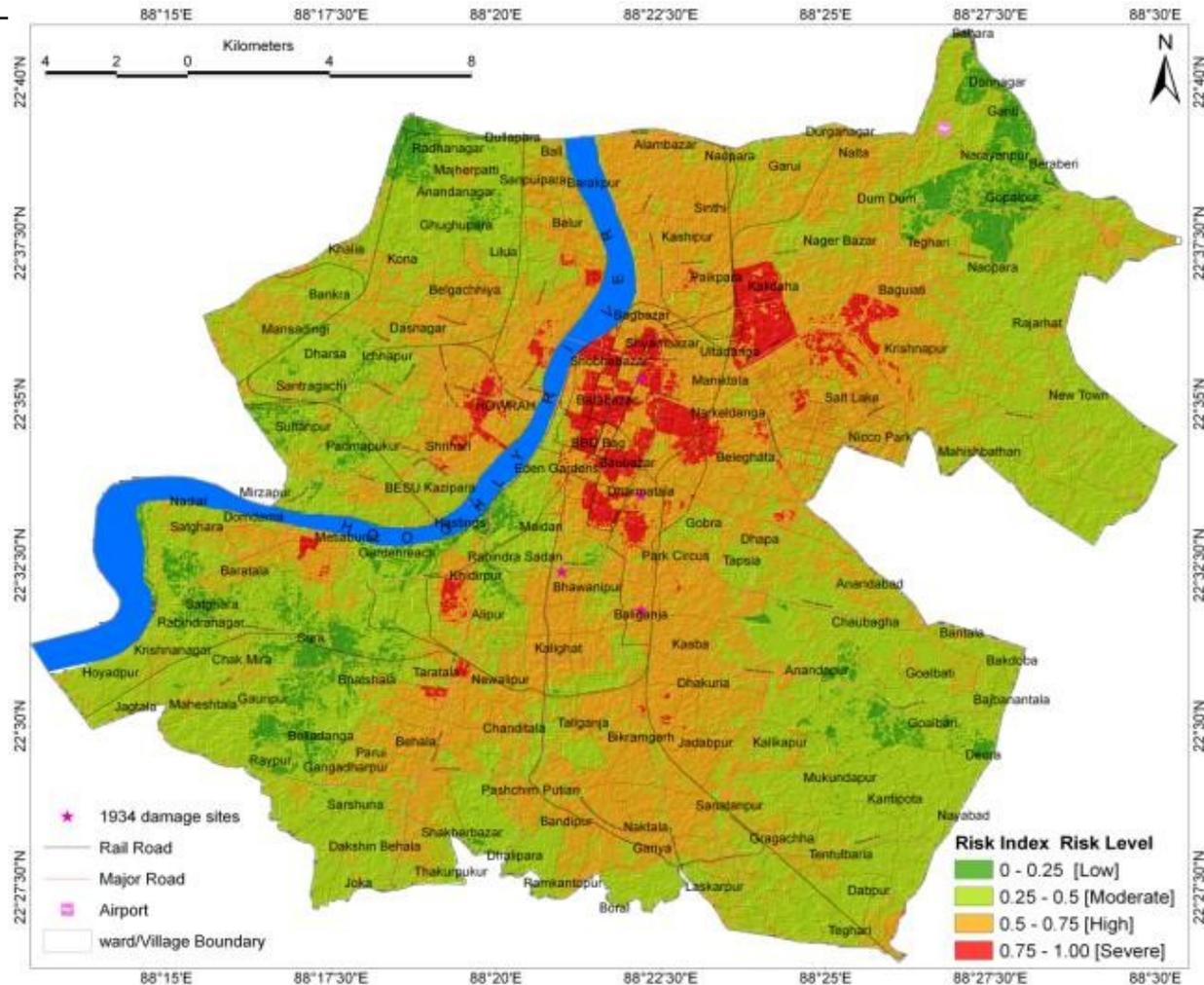
| Hazard Level | $Z_F$ (g) | $L_S$             | Design Response Spectra (IBC, 2009)   |
|--------------|-----------|-------------------|---|
| Low          | 0.20      | LPI=0             | <p>The graph displays four design response spectra curves for different hazard levels. The x-axis represents the period in seconds, ranging from 0 to 4. The y-axis represents the spectral acceleration in g, ranging from 0 to 0.7. The curves are: Severe (red), High (orange), Moderate (yellow-green), and Low (green). All curves show a sharp peak at a period of approximately 0.2 seconds, followed by a smooth decay. The peak accelerations are approximately 0.57g for Severe, 0.5g for High, 0.48g for Moderate, and 0.42g for Low. The curves converge towards a value of approximately 0.05g at a period of 4 seconds.</p> |
| Moderate     | 0.27      | $0 < LPI \leq 7$  |   |
| High         | 0.30      | $4 < LPI \leq 16$ |   |
| Severe       | 0.34      | $LPI > 15$        |   |

# Socio-economic Seismic Risk Assessment

Population Density, Census, 2011

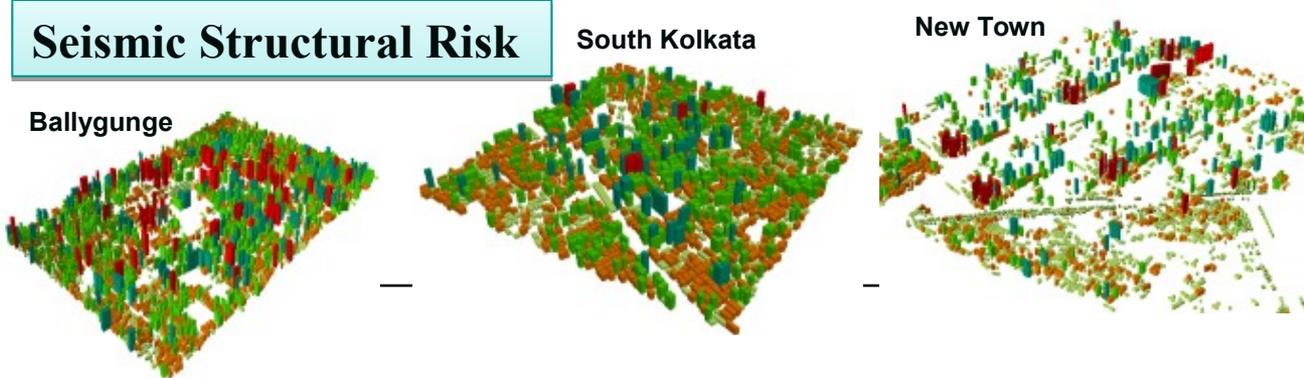
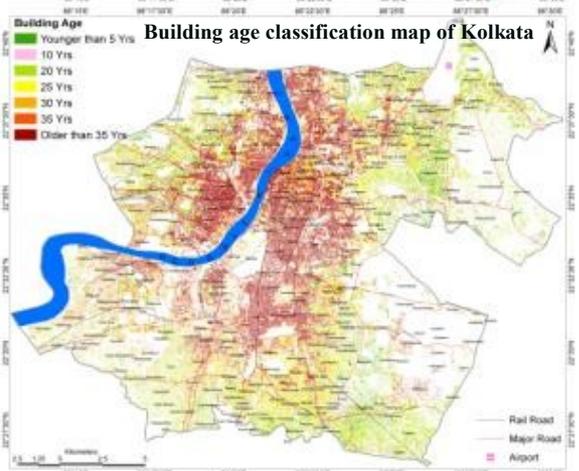
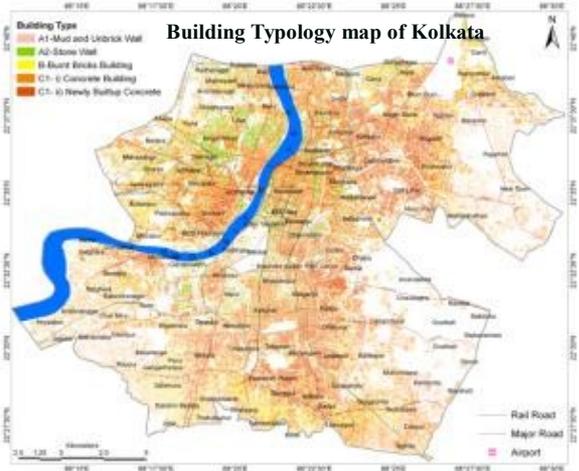


Probabilistic Seismic Socio-Economic Risk Map of Kolkata

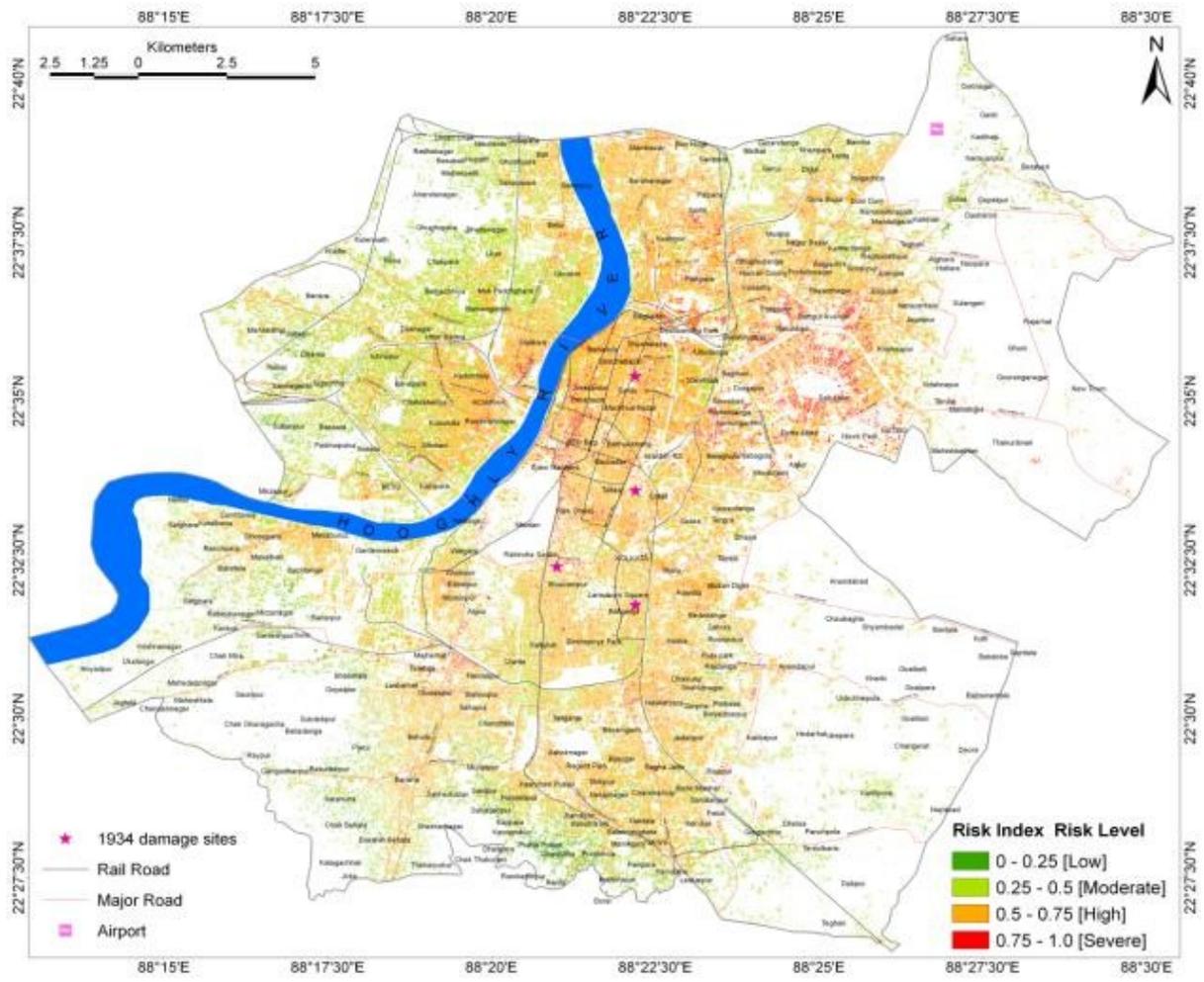


Landuse/landcover map of Kolkata





## Probabilistic Seismic Structural Risk Map of Kolkata

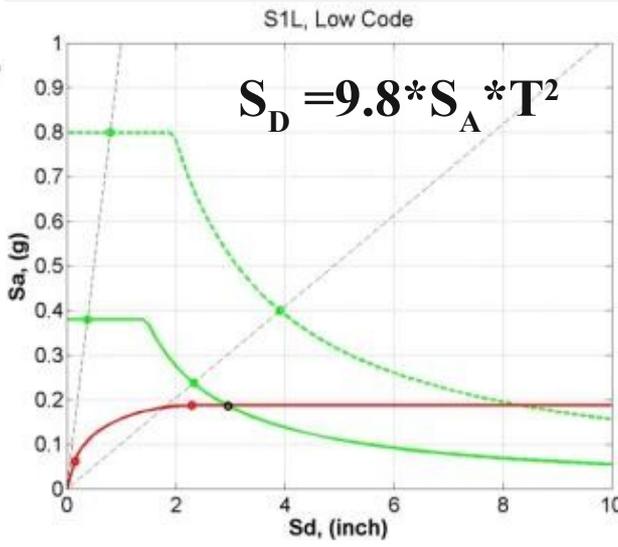
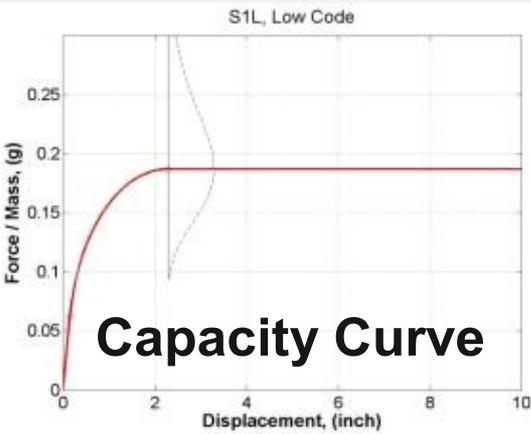


## Vulnerability Exposures:

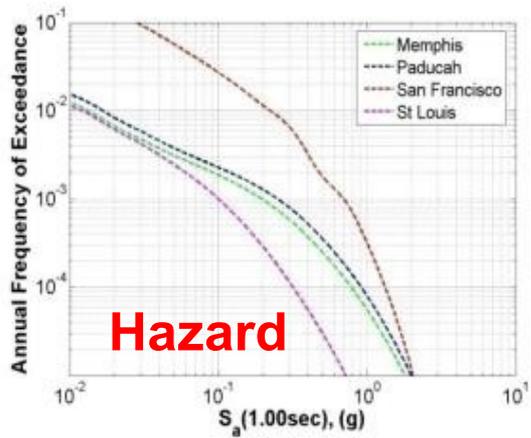
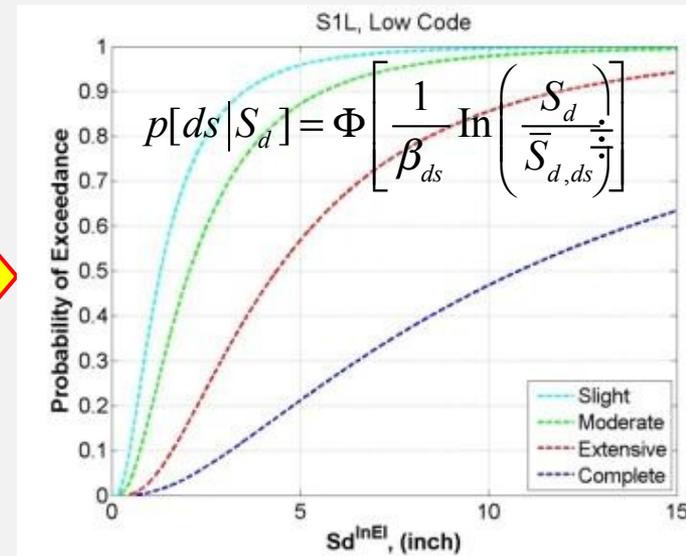
### Selected Model Building Types:

| <b>Model Building Type</b> | <b>Description</b>   | <b>Height</b> | <b>Stories</b> | <b>References</b>       |
|----------------------------|--|---------------|----------------|-------------------------|
| C1L                        | Ductile reinforced concrete moment frame with or without infill                                    | Low-Rise      | 1 – 3          | FEMA, 2000              |
| C1M                        |  | Mid-Rise      | 4 - 7          |                         |
| C1H                        |  | High-Rise     | 8+             |                         |
| C3L                        | Non-ductile reinforced concrete frame with masonry infill walls                                    | Low-Rise      | 1 - 3          |                         |
| C3M                        |  | Mid-Rise      | 4 - 7          |                         |
| C3H                        |  | High-Rise     | 8+             |                         |
| A1                         | Adobe block, mud mortar, wood roof and floors  | Low-Rise      | 1              | WHE-PAGER project, 2007 |
| RS2                        | Local field stones with mud mortar.  | Low-Rise      | 1-2            |                         |
| UFB5-1                     | Unreinforced fired brick masonry, cement mortar, but with reinforced concrete floor and roof slabs | Low-Rise      | 1-2            |                         |
| UFB5-2                     |  | High-Rise     | 2-4            |                         |
| HER                        | Heritage building  | -             | -              |                         |

# SELENA based Building Damage Assessment



## Damage State Definition

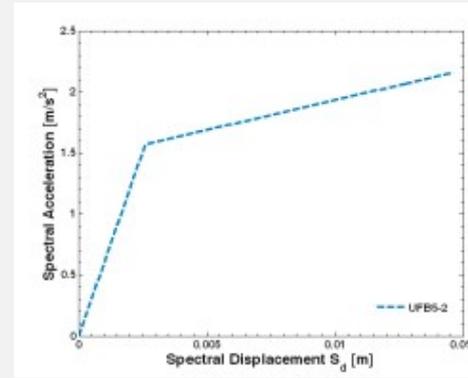
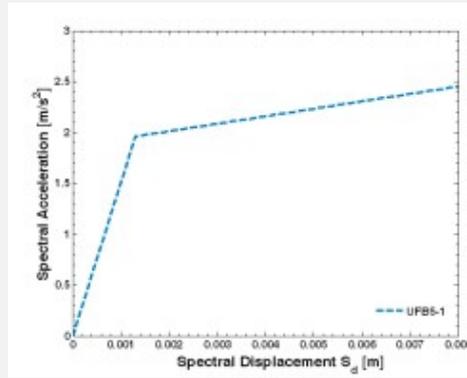
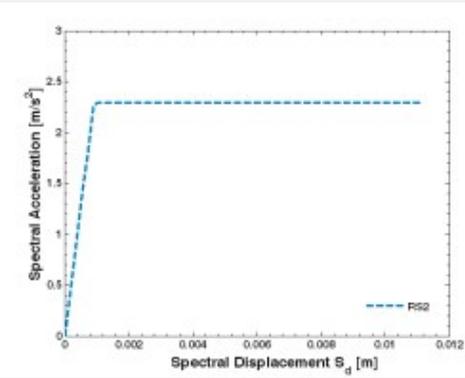
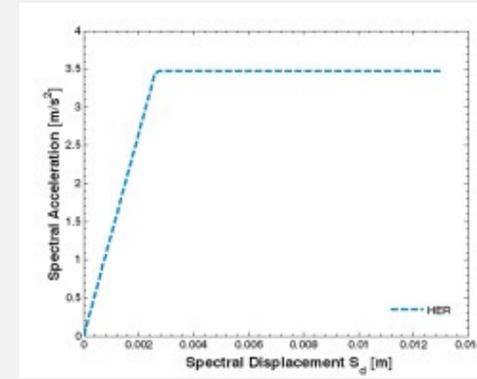
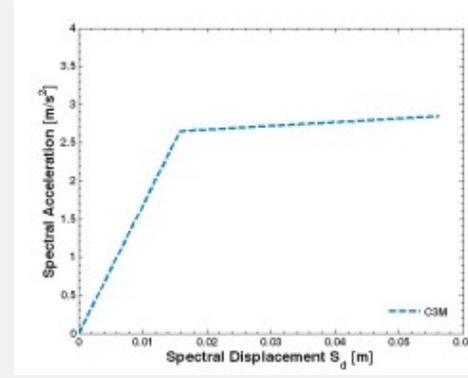
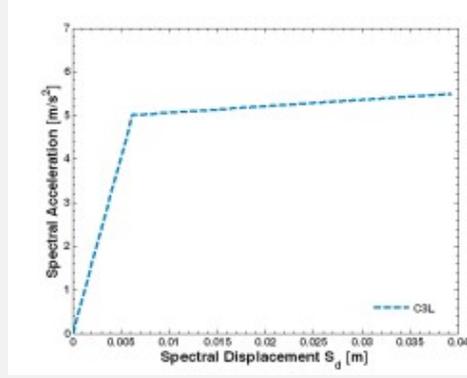
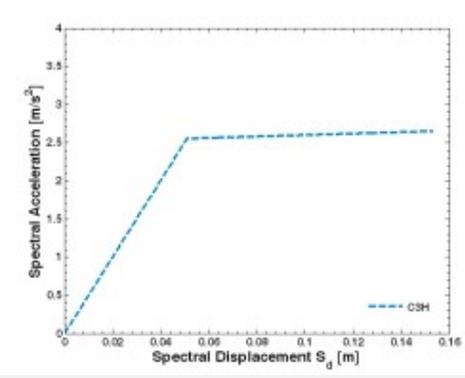
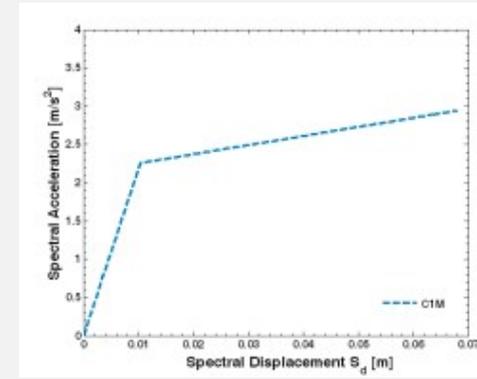
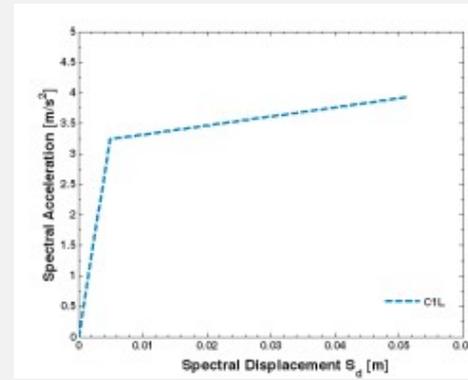
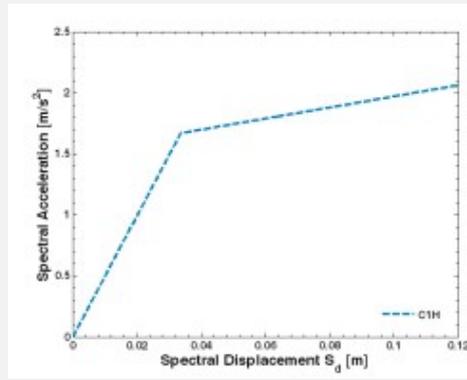
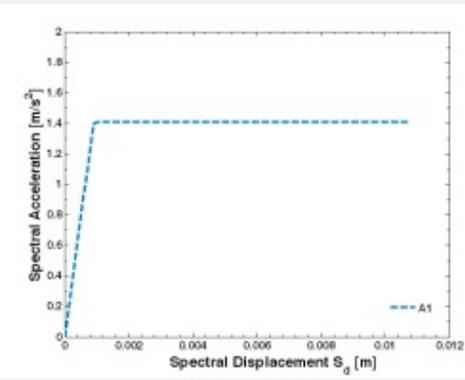


## Capacity Spectrum Method

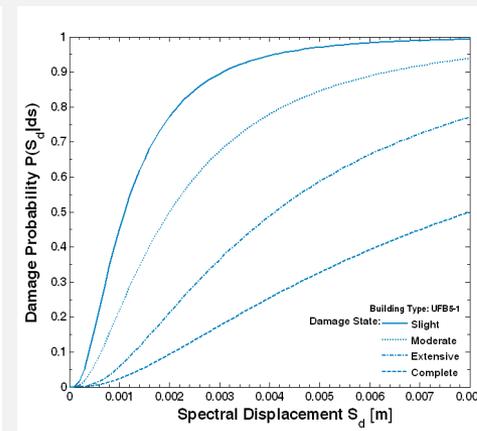
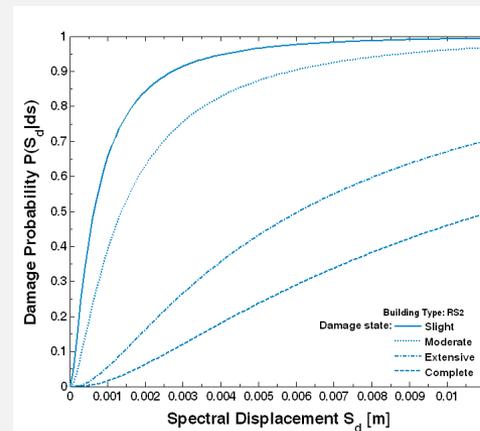
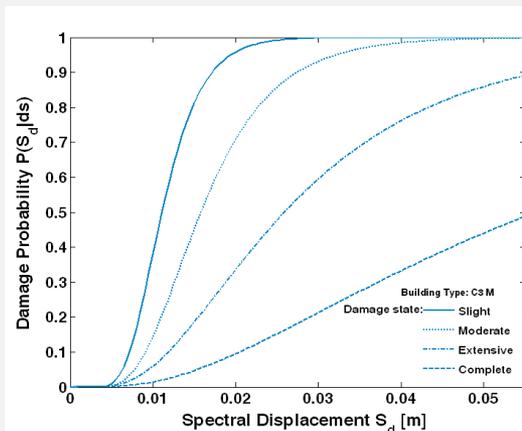
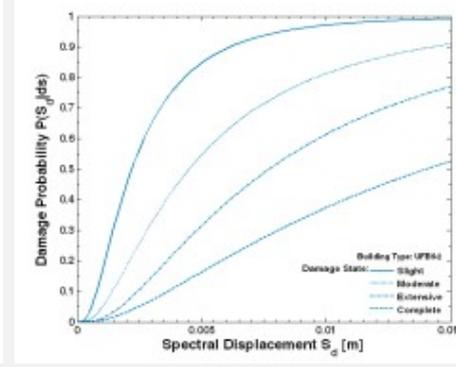
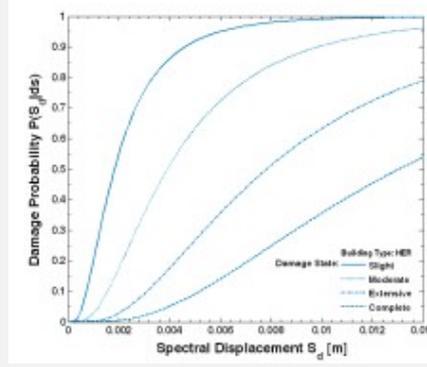
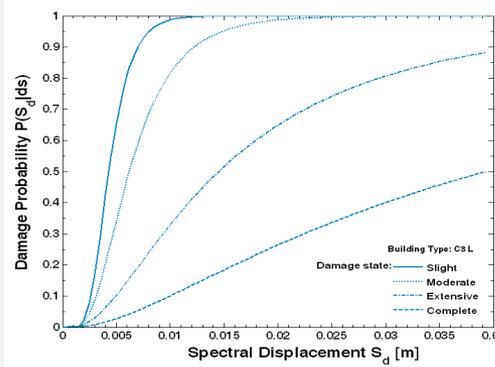
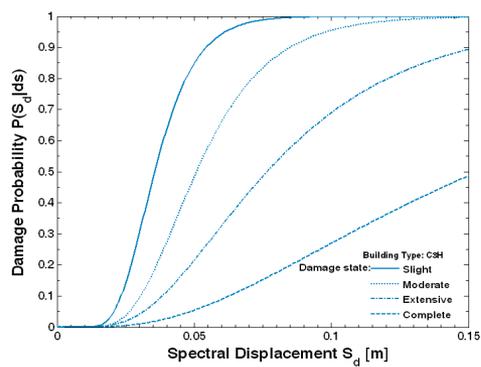
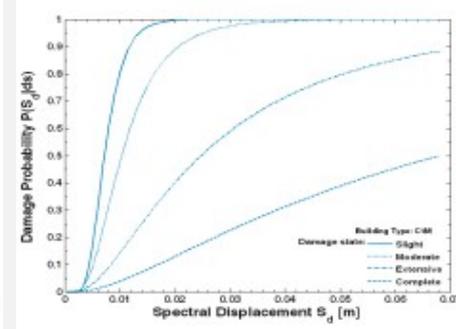
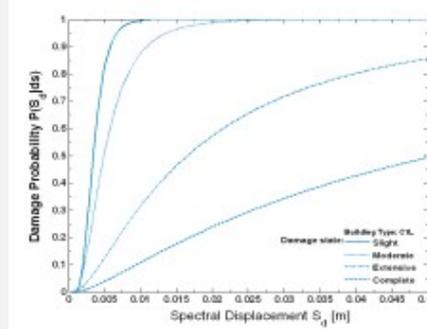
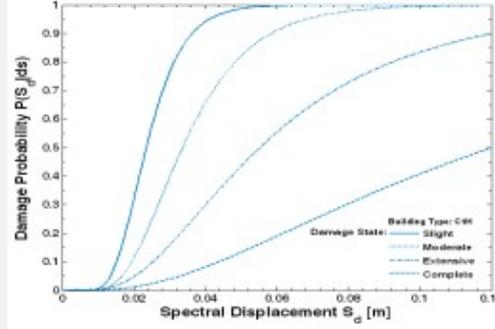
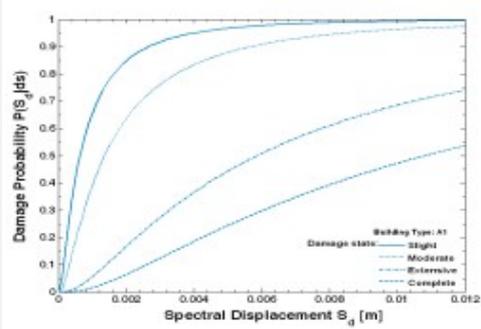
## Fragility Curve Input: Inelastic Spectral Displacement

Where,  $p[ds|S_d]$  = Probability of being in or exceeding a damage state,  $d_s$ ;  $S_d$  = Given spectral displacement (inches);  $\bar{S}_{d,ds}$  = Median value of  $S_d$  at which the building reaches threshold of damage state  $d_s$ ;  $\beta_{ds}$  = Lognormal standard deviation of spectral displacement of damage state,  $d_s$ ; and  $\Phi$  = Standard normal cumulative distribution function

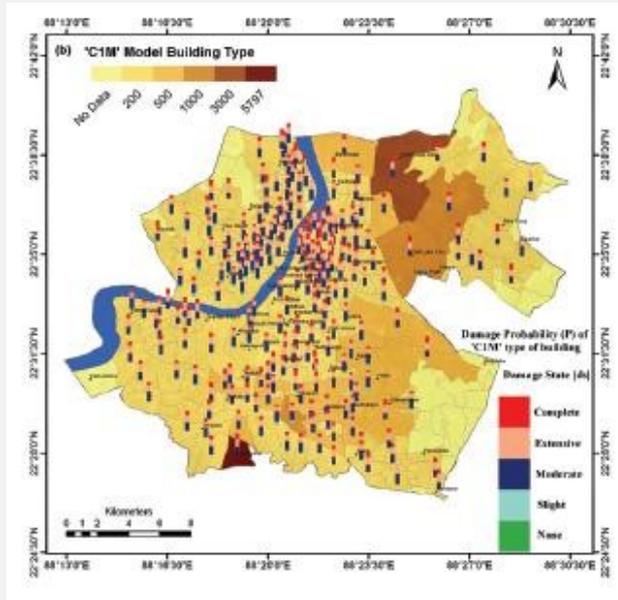
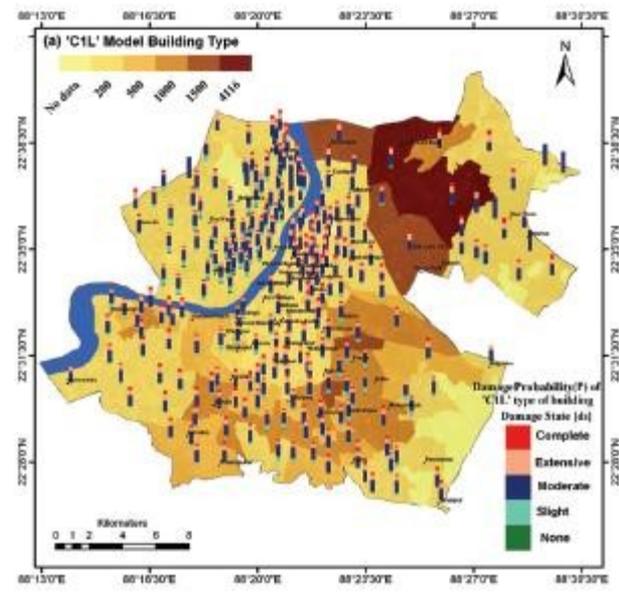
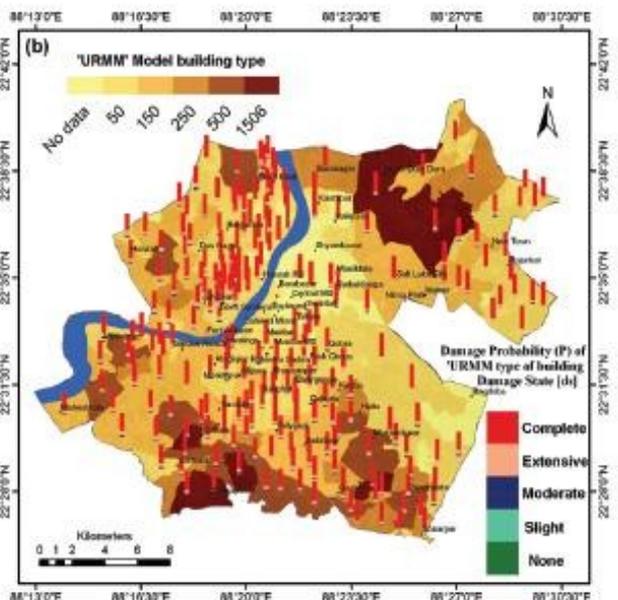
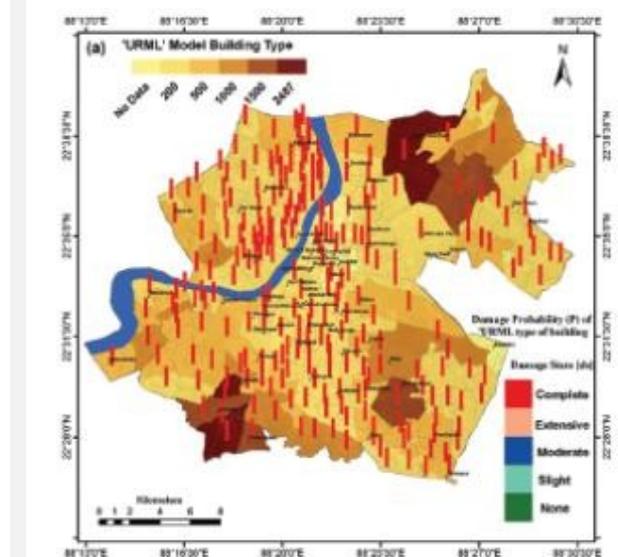
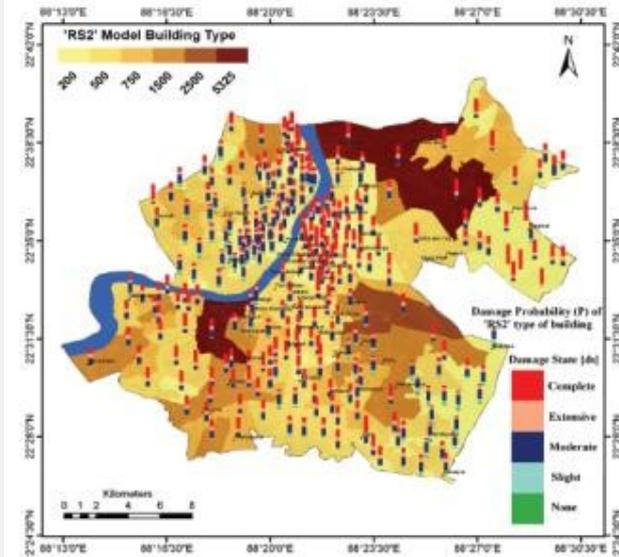
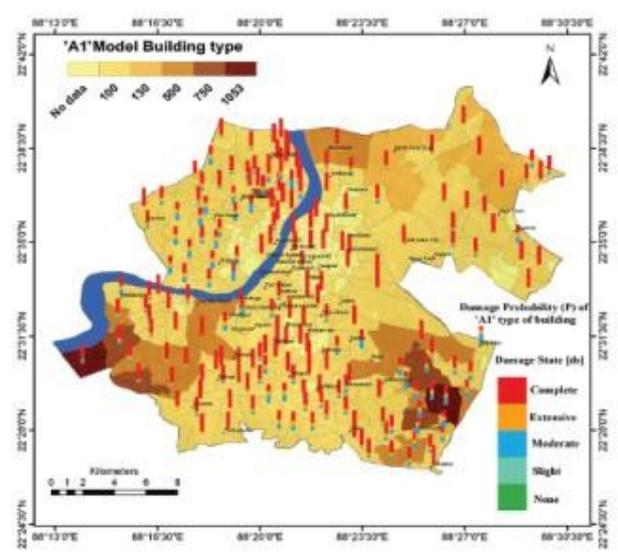
# Capacity Curve of eleven model building type's i.e. A1, RS2, UFB5-1, UFB5-2, C1L, C1M, C1H, C3L, C3M, C3H and HER for Kolkata city

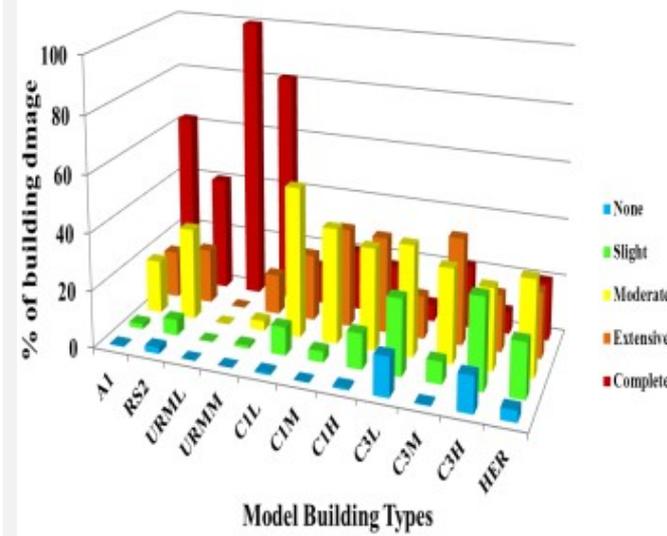
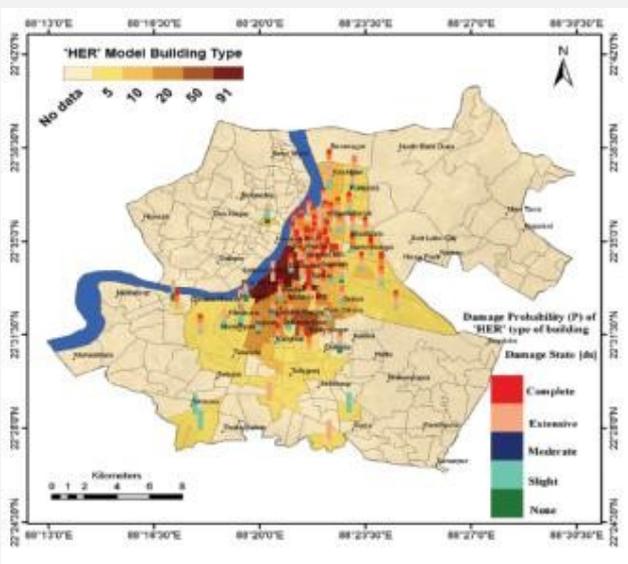
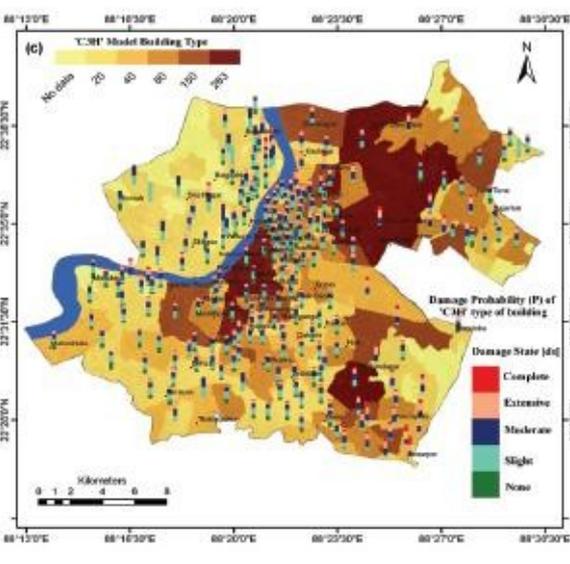
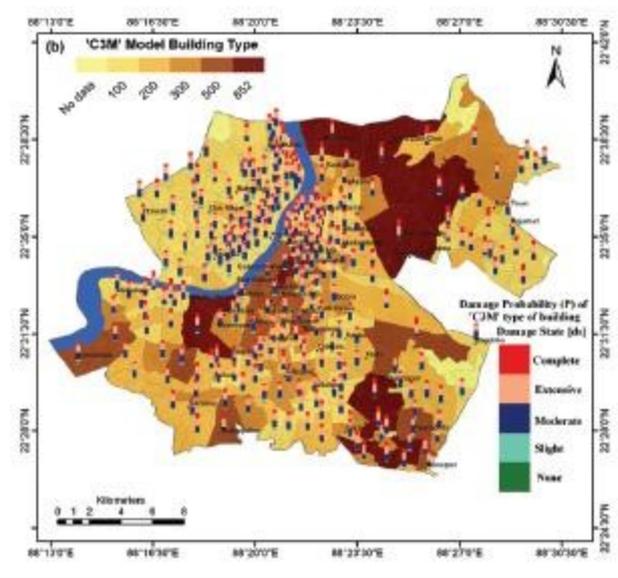
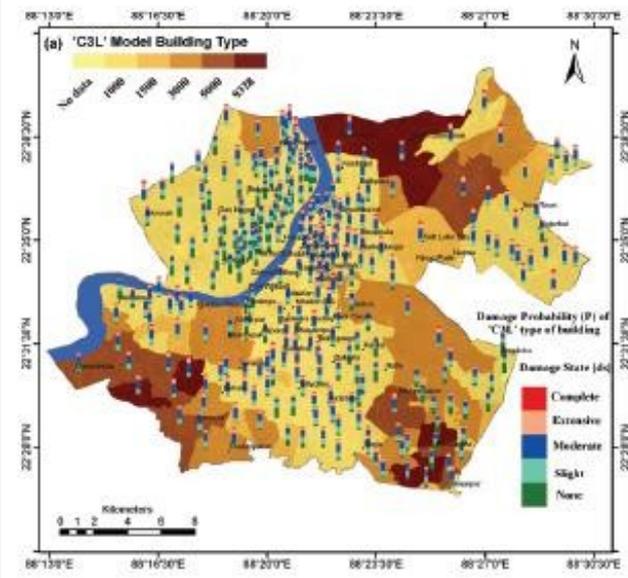
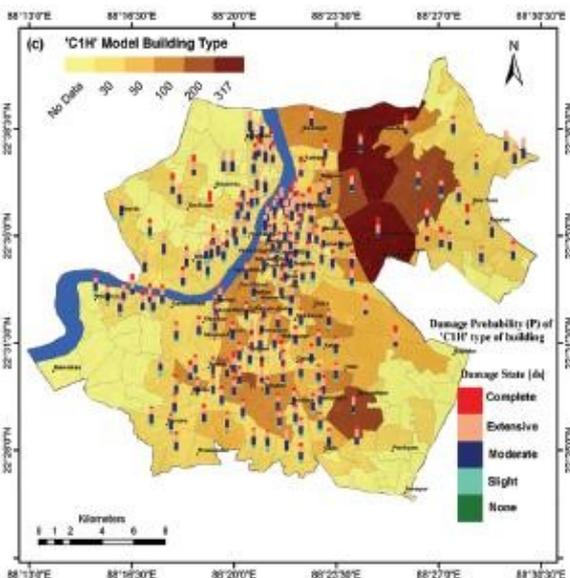


# Fragility curves of eleven model building type's i.e. A1, RS2, UFB5-1, UFB5-2, C1L, C1M, C1H, C3L, C3M, C3H and HER for Kolkata city



# Quantification of structural damage of eleven Model Building types using SELENA:





Predicted damage probability in terms of 'None', 'Slight', 'Moderate', 'Extensive', 'Complete' for the identified model building types in the City

## Economic losses Assessment:

The economic losses for building repair (mostly for damage states *slight* and *moderate*) and replacement (mostly for damage states *extensive* and *complete*) has been computed by the following expression:

$$L_{\text{eco}} = \sum_{i=1}^{N_{OT}} \sum_{j=1}^{N_{BT}} \sum_{k=1}^{N_{DS}} A_{i,j} P_{j,k} C_{i,j,k}$$

$N_{OT}$  = number of occupancy types;  $N_{BT}$  = number of building typologies,

$N_{DS}$  = number of damage states ds,

$A_{i,j}$  = built area of the model building type  $j$  in the occupancy type  $i$  (in [ $m^2$ ])

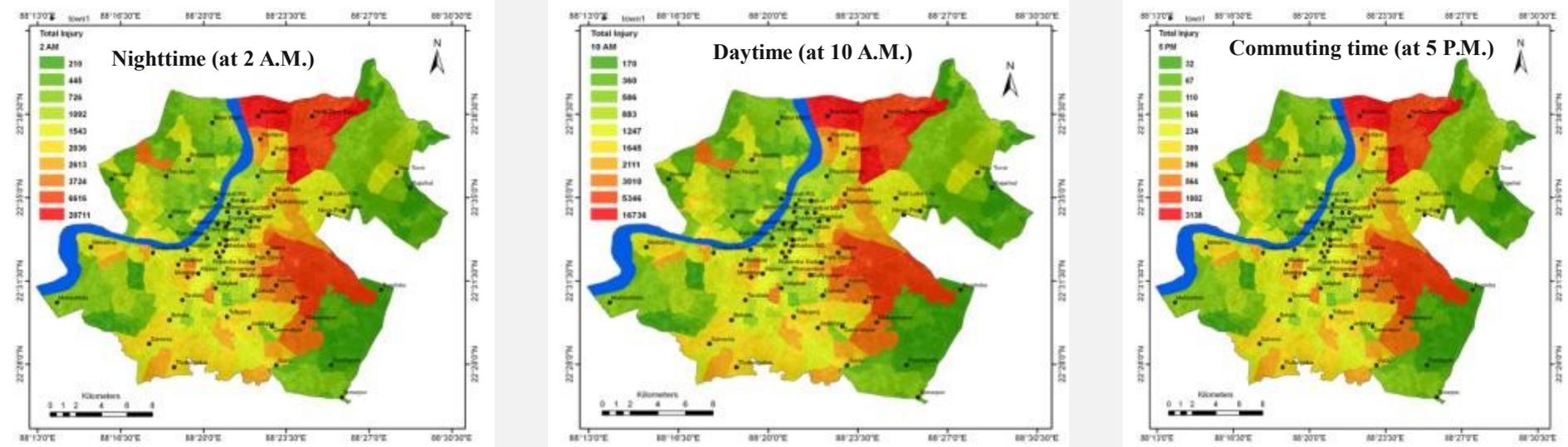
$P_{j,k}$  = damage probability of a structural damage  $k$  (*slight*, *moderate*, *extensive* or *complete*) for model building type  $j$ ,

$C_{i,j,k}$  = cost of repair or replacement (per [ $m^2$ ]) in the provided input currency of structural damage  $k$  for occupancy type  $i$  and model building type  $j$

## Construction cost (per square meter) for different Building typology of Kolkata

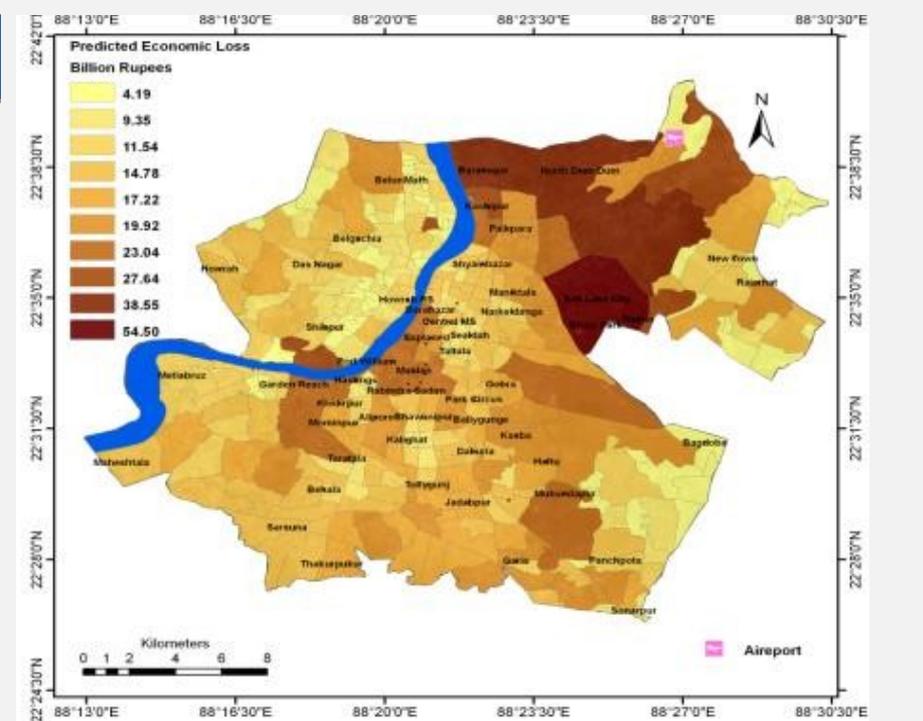
| Building Types/Stories | Stories 1 | Stories 2 | Stories 3 | Stories 4 | Stories 5 | Stories 6 | Stories 7 | Stories 8+ |
|------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|------------|
| C1L                    | 15441     | 9157      | 9695      |           |           |           |           |            |
| C1M                    |           |           |           | 10104     | 10138     | 10332     | 10462     |            |
| C1H                    |           |           |           |           |           |           |           | 41175      |
| C3L                    | 14928     | 11261     | 10039     |           |           |           |           |            |
| C3M                    |           |           |           | 9768      | 9325      | 9035      | 8986      |            |
| C3H                    |           |           |           |           |           |           |           | 10350      |
| A1                     | 8608      |           |           |           |           |           |           |            |

# Estimated Total injured persons considering nighttime (at 2 A.M.), daytime (at 10 A.M.) and Commuting time (at 5 P.M.) population



## Economic losses Assessment:

Estimated economic loss due to structural damage for different damage states in term of slight, moderate, extensive and complete

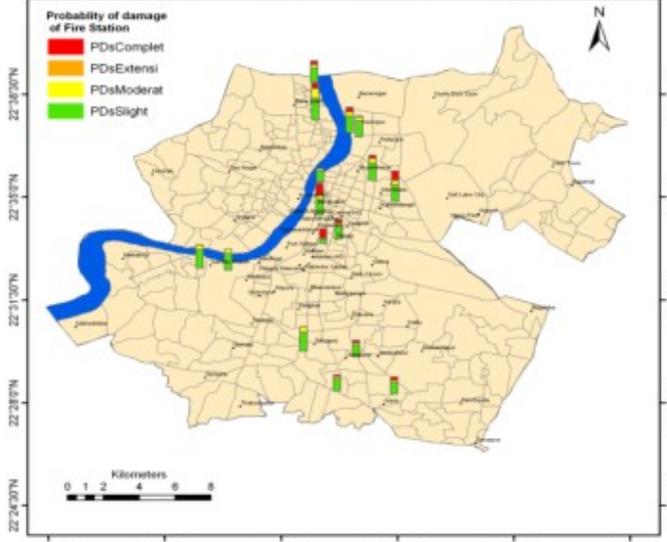
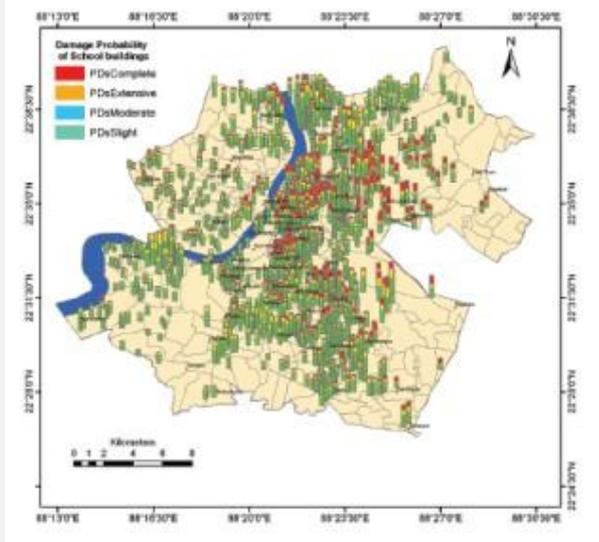
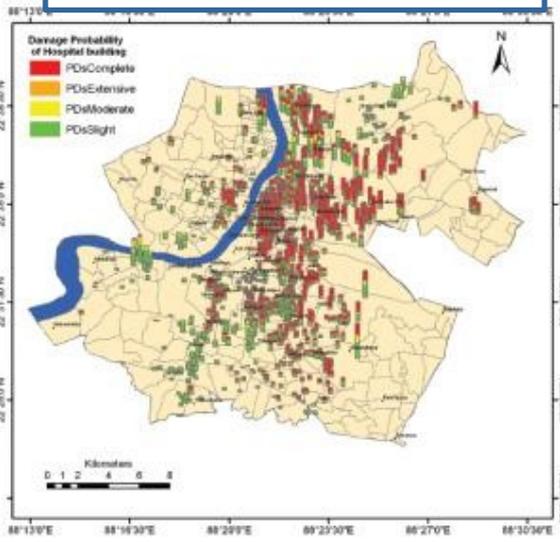


# Damage and Loss Estimation of essential facilities using

**H** Damage to Medical care facilities

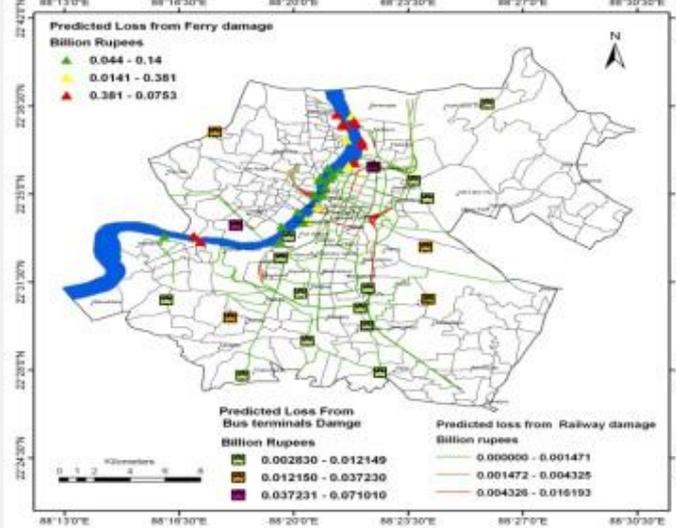
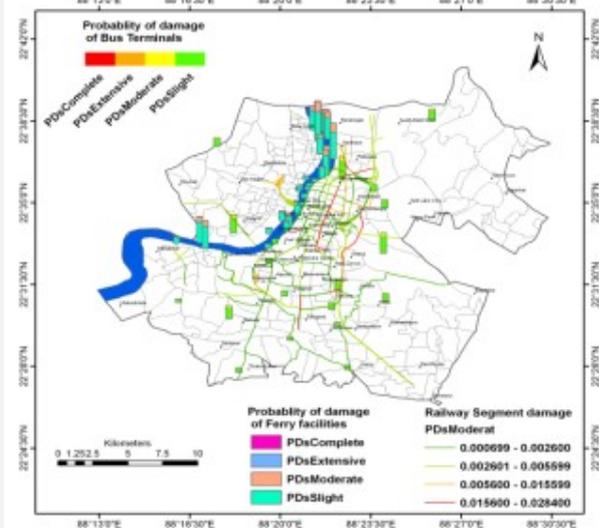
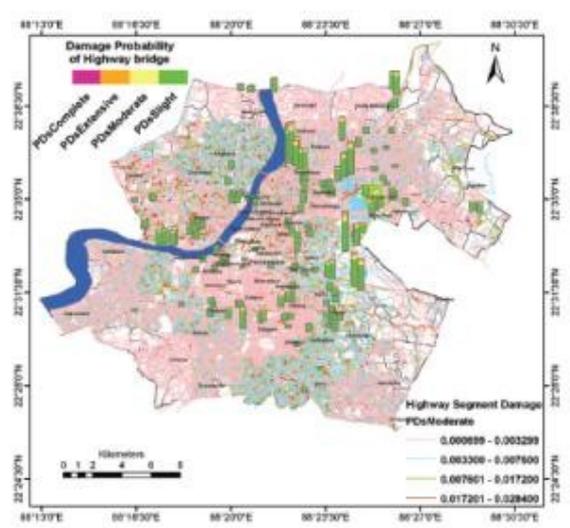
Damage to schools

Damage to fire stations

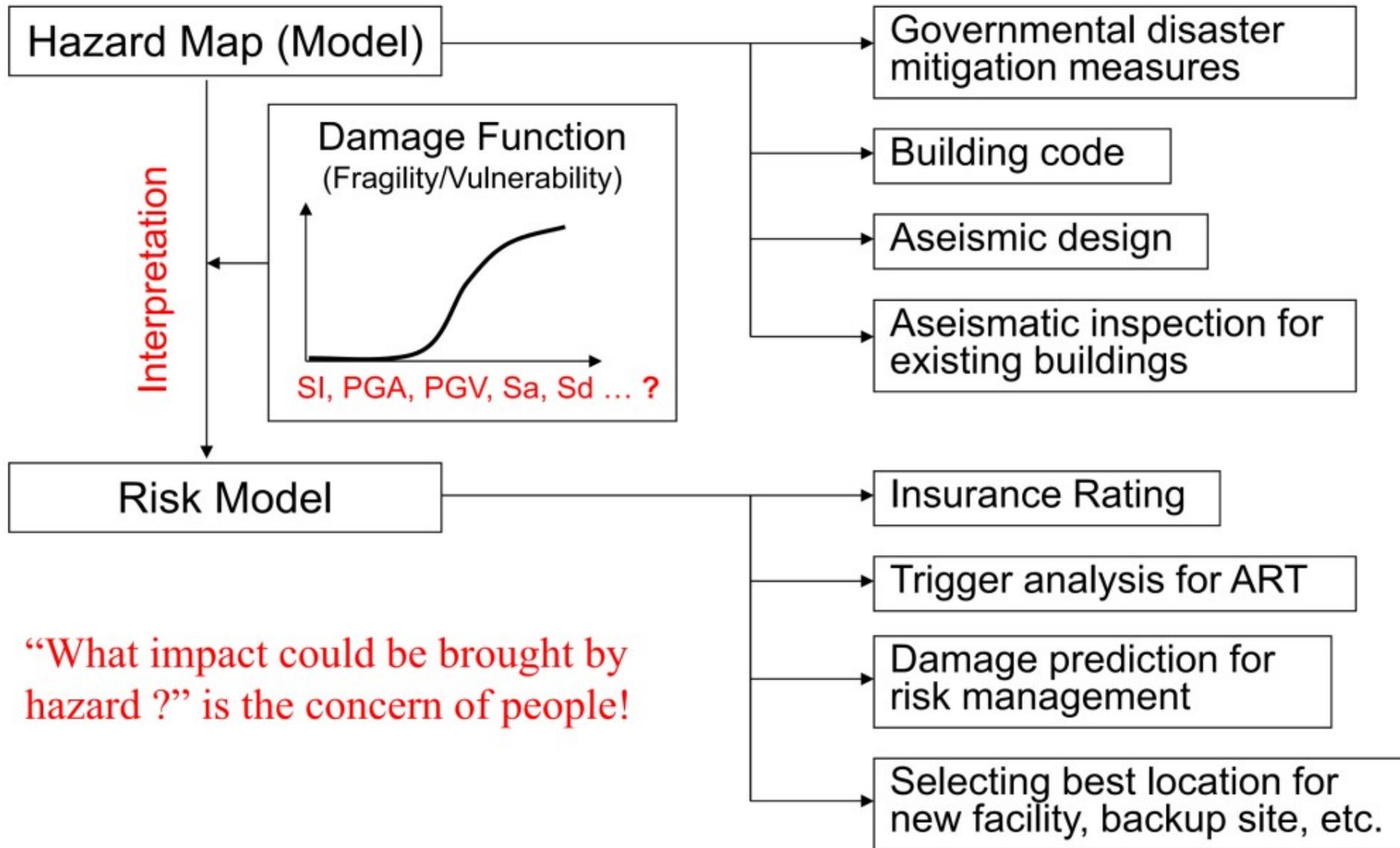


Damage and Loss estimation for highway segment

Predicted Damage distribution of the railway segments, bus terminals and ferry facilities of the City of Kolkata



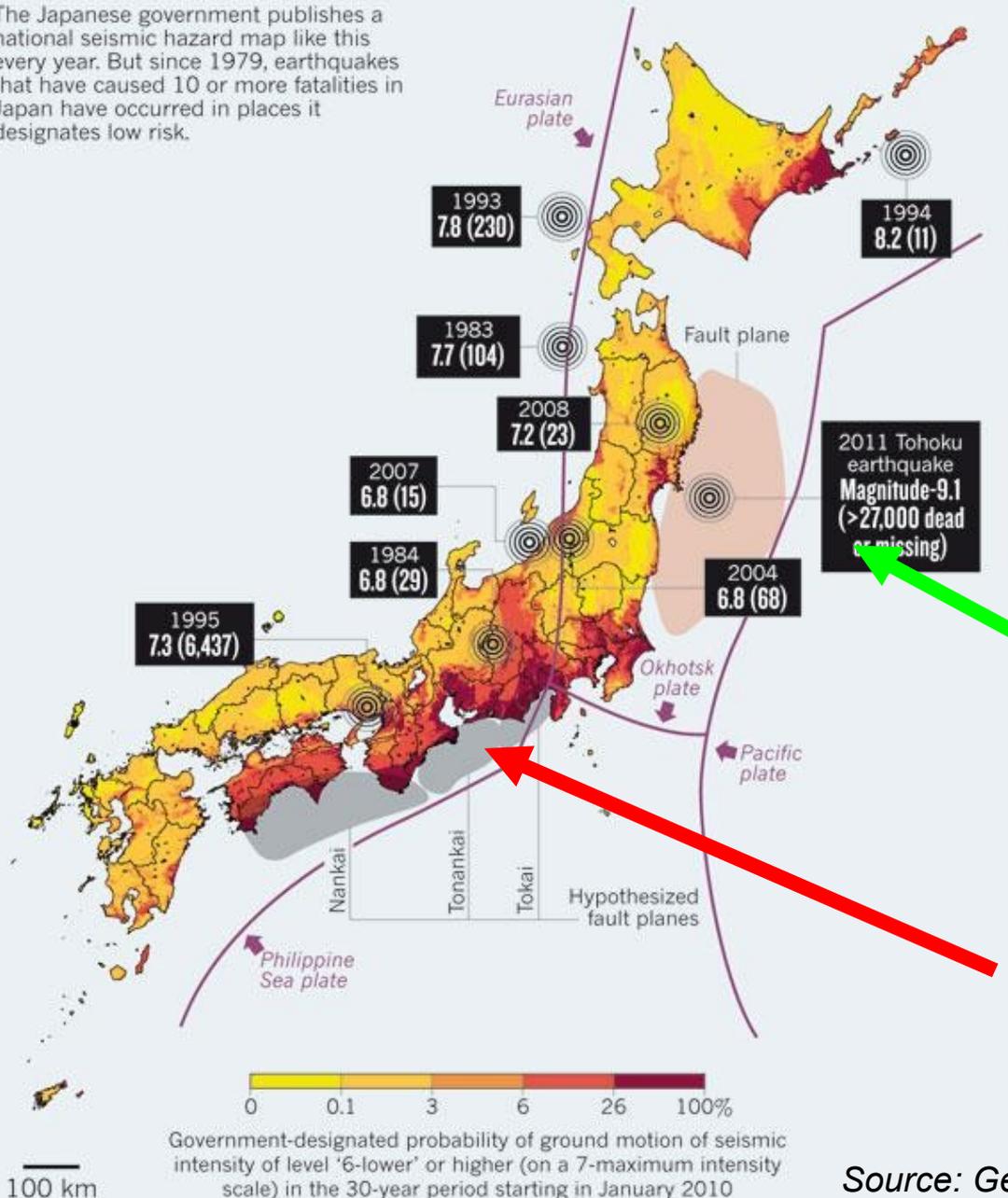
# Application and capability of Hazard Map



# Seismic Hazard Map of Japan:

## REALITY CHECK

The Japanese government publishes a national seismic hazard map like this every year. But since 1979, earthquakes that have caused 10 or more fatalities in Japan have occurred in places it designates low risk.



- Probability-of-exceedance for JMA 6– in Japan for the 30-year period starting January 2010.
- This is an update of the 2005 maps prepared by Japan HERP (2005)

*Japan spent lots of effort on national hazard map, but*

*2011 M 9.1 Tohoku, 1995 Kobe M 7.3 & others in areas mapped as low hazard*

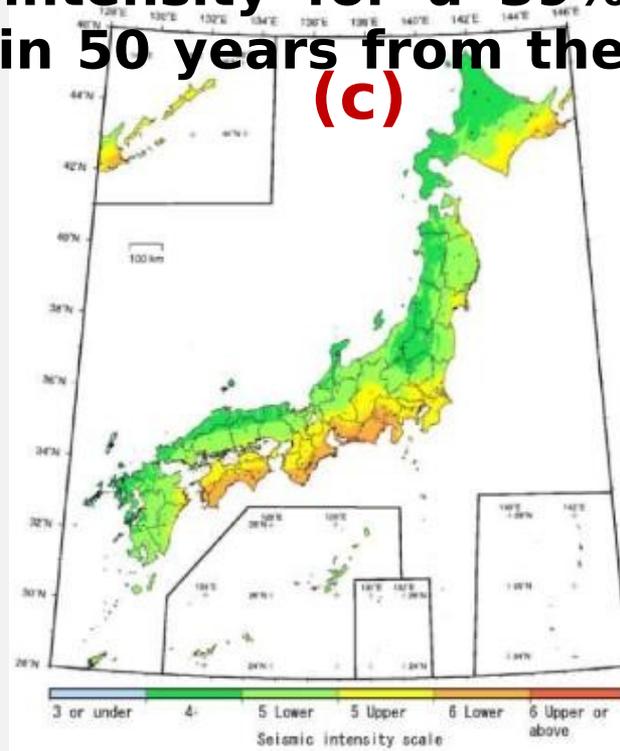
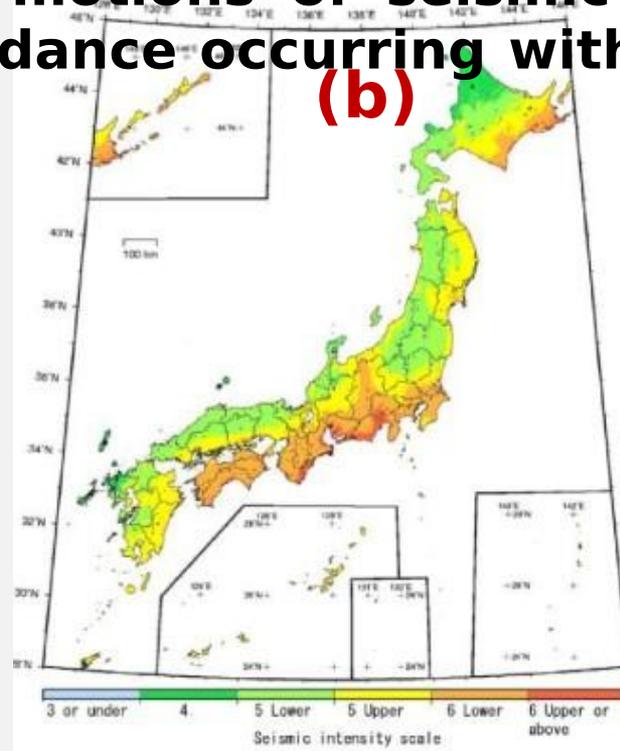
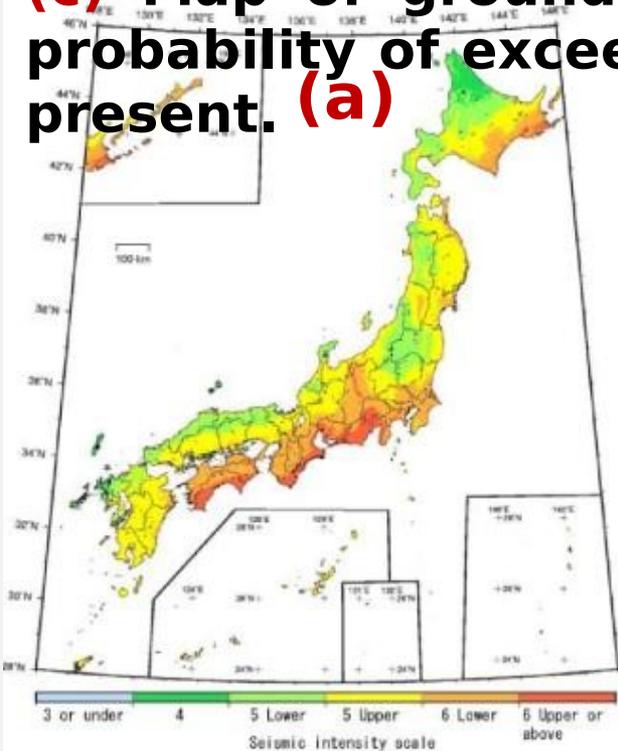
*In contrast: map assumed high hazard in Tokai "gap"*

# Probabilistic Seismic Hazard Map of Japan:

**(a)** Map of ground motions of seismic intensity for a 5% probability of exceedance occurring within 50 years from the present.

**(b)** Map of ground motions of seismic intensity for a 10% probability of exceedance occurring within 50 years from the present.

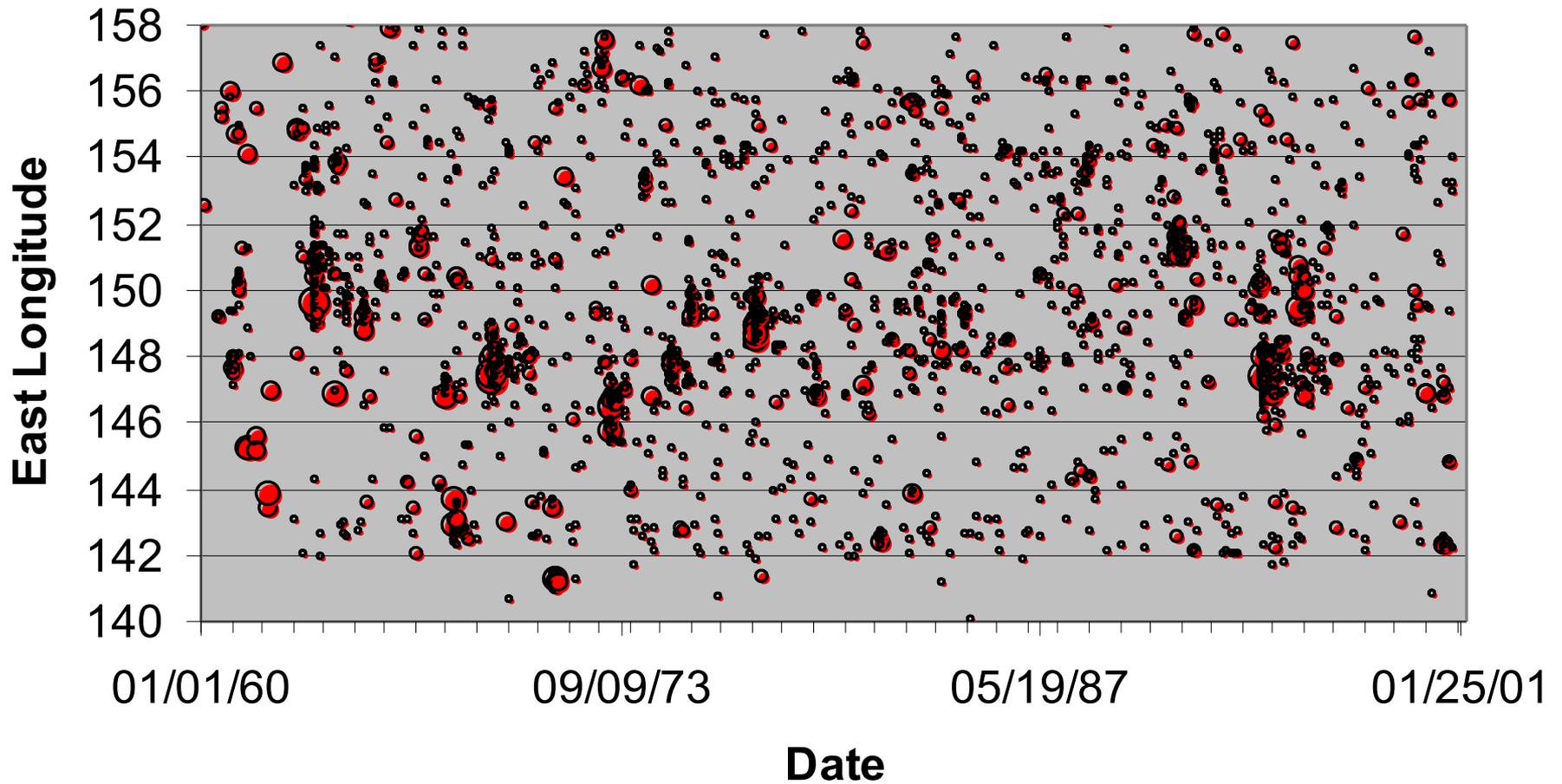
**(c)** Map of ground motions of seismic intensity for a 39% probability of exceedance occurring within 50 years from the present.



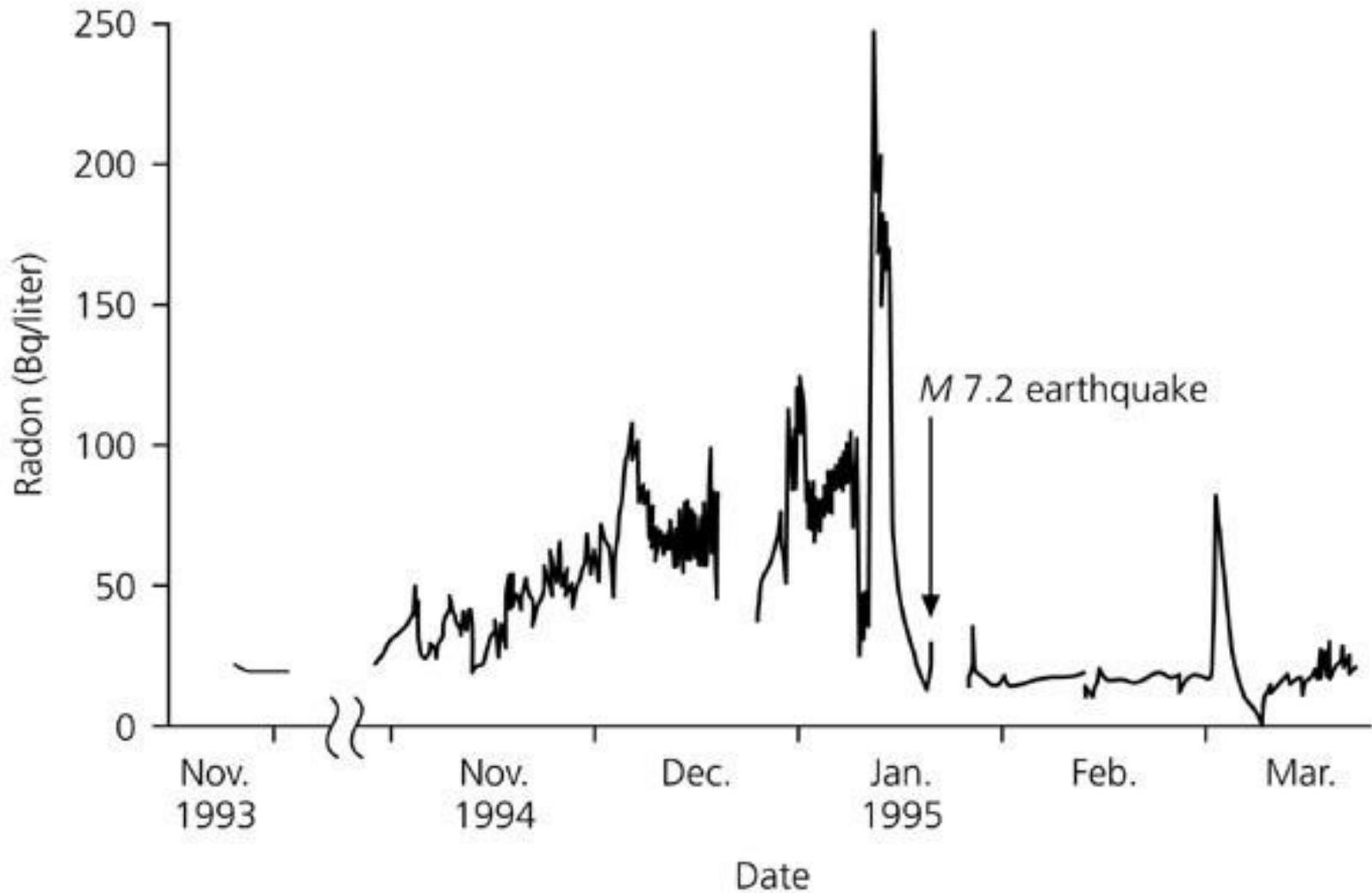
# Earthquake forecasting and prediction:

- *Frequency - magnitude relationship (probabilistic forecasting)*
- *Seismic gap*
- *Progression of main shocks*
- *Foreshocks*
- *Premonitory sequences (accelerated energy release, precursory chains)*
- *Other (EM signals, Radon gas emissions, animal behavior, etc.)*

## Kurile Islands Earthquakes: Space-Time Plot



# Radon emissions before and after the 1995 Kobe earthquake.



**Also: Resistivity, water pressure and well levels, geyser activity, changes in seismicity**

# Structural Design

## Criteria: 1. Classification criterion of sites in Asian country codes:

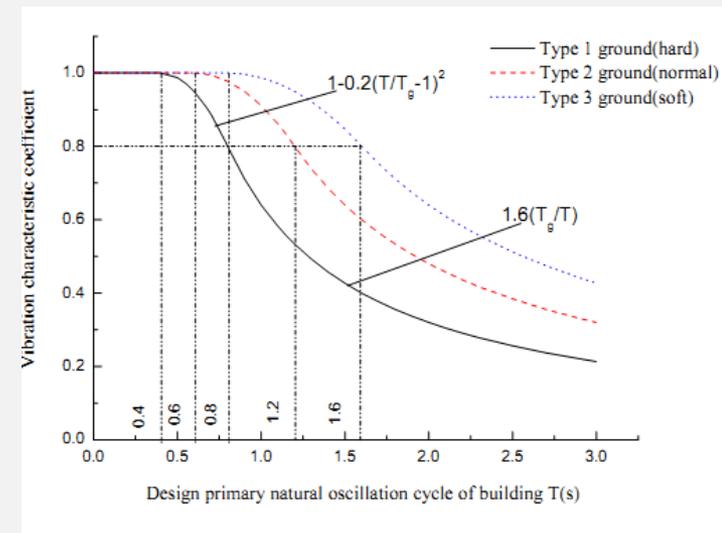
| Site type | Japan   | India             | Nepal   | Indonesia | Average shear wave velocity $\bar{v}_s$ (m/s)  | Average SPT $\bar{N}$  | Average undrained shear strength $\bar{S}_u$ (kPa) |
|-----------|---|-------------------|---|-----------|--|------------------------|--|
| I         | Ground consisting mainly of rock mass or hardened gravel beds from the Tertiary Era or earlier  | Rock or Hard soil | Rock or Stiff soil sites  |           | $\bar{v}_s \geq 350$   | $\bar{N} \geq 50$      | $\bar{S}_u \geq 100$                               |
| II        | Ground types other than Type I and Type 3   | Medium soil       | Medium soil sites. Sites not described as either Type I or Type III |           | $175 \leq \bar{v}_s < 350$   | $15 \leq \bar{N} < 50$ | $50 \leq \bar{S}_u < 100$                          |
| III       | Alluvial layers consisting mainly of humus, mud or similar materials, to a depth of approximately 30 meters or more, marshland or mud sea, etc. | Soft soil         | Soft soil sites   |           | $\bar{v}_s < 175$  | $\bar{N} < 15$         | $\bar{S}_u < 50$                                   |
|           |   |                   |   |           | Or, any soil profile with more than 3m of soft clays with $PI > 20$ , $w_n \geq 40\%$ and $S_u < 25$ kPa |                        |  |

## 2. Seismic effective coefficient formulas and numerical results based on different

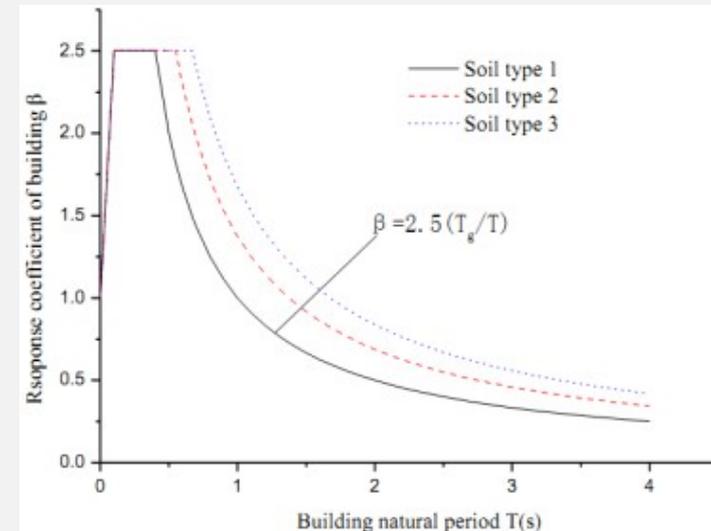
| Country   | Base shear method       | Equivalent seismic influence coefficient formula | Structural natural periods  | $\alpha$ results |
|-----------|-------------------------|--|---|------------------|
| Japan     | $V_i = \alpha_i W_i$    | $\alpha_i = A \cdot R_i \cdot S_i \cdot E_0$     | $0.0731 h^{3/4}$  | 0.21             |
| Korea     | $V = \alpha W$          | $\alpha = \frac{AI\beta}{R}$                     | $0.07 h^{3/4}$  | 0.035            |
| Turkey    | $V = \alpha W$          | $\alpha = \beta(T_i) / R_s(T_i) \geq 0.10 AI$    | $0.07 h^{3/4}$  | 0.056            |
| Iran      | $V = \alpha W$          | $\alpha = \frac{A\beta I}{R}$                    | $0.075 h^{0.75}$  | 0.114            |
| India     | $V = \alpha W$          | $\alpha = \frac{AI\beta}{2R}$                    | $0.06 h^{3/4}$  | 0.157            |
| Nepal     | $V = \alpha W$          | $\alpha = C(T_i) AIK$                            | $0.0731 h^{3/4}$  | 0.116            |
| Indonesia | $V = \alpha W$          | $\alpha = \frac{CI}{R}$                          | $2\pi \sqrt{\frac{\sum_{i=1}^n W_i d_i^2}{g \sum_{i=1}^n F_i d_i}}$ | 0.141            |
| China     | $V_{EK} = \alpha_i W_i$ | seismic influence coefficient curve              | $(0.08 \sim 0.10) N$  | 0.21             |

$V_i$ : the floor horizontal seismic force;  $\alpha_i$ : seismic influence coefficient;  $W$ : floor weight; the  $W_i$ : weight of the  $i$ -th floor;  $A$ : earthquake partition coefficient;  $I$ : importance factor;  $R$ : seismic response reduction coefficient;  $C$ : Structural reaction coefficient;  $\beta$ : dynamic coefficient;  $K$ : the structure performance coefficient;  $R_i$ : Vibration characteristics coefficient;  $S_i$ :  $i$  floors horizontal shear distribution coefficient;  $E_0$ : Standard shear coefficient;  $F_i$ : equivalent seismic action of the  $i$ -th floor;  $d_i$ :  $i$ -th floor lateral seismic displacements;  $g$ : gravity acceleration;  $h$ : Height of building;  $N$ : total layer number of Structure.

## Design Seismic Response Spectrum Japan

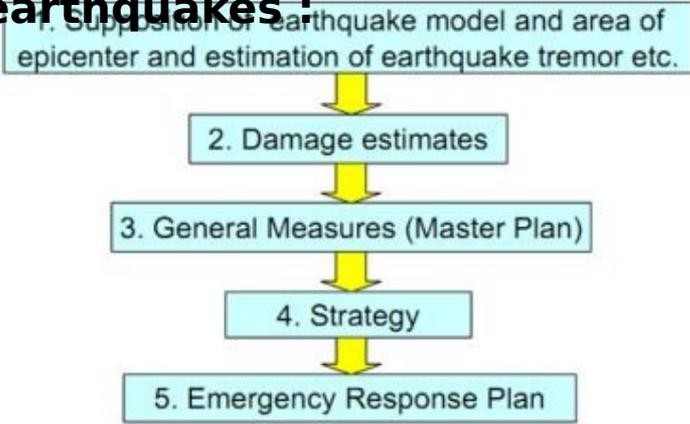


## India



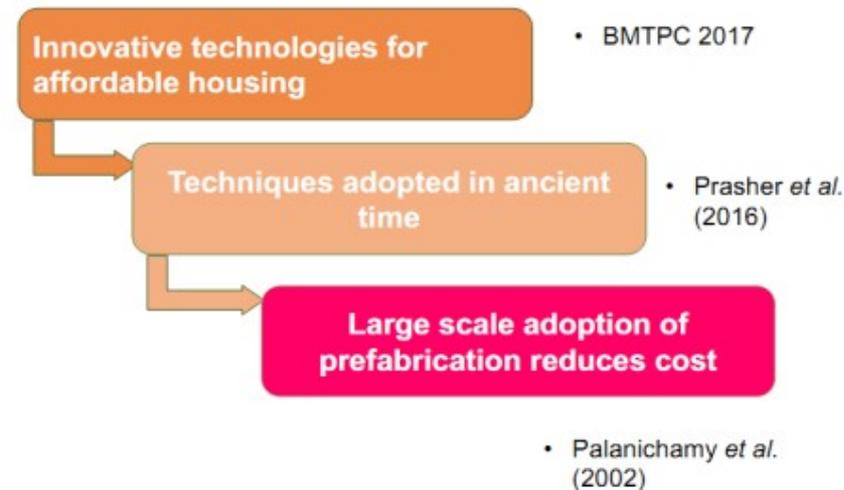
# Earthquake Disaster Management in Japan

Flow of planning countermeasures against large-scale earthquakes:

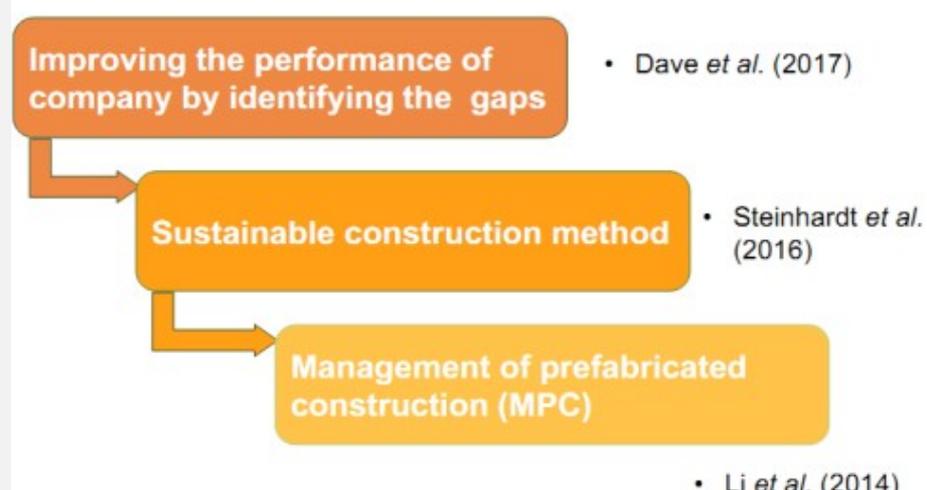


| Level                           | Severity  | JMA Seismic Intensity                         | Response by the Government   |
|---------------------------------|---|---|--|
| Level 5 (Emergency)             | Devastating                                       | Central Tokyo: 6 Lower<br>Other area: 6 Upper | <ul style="list-style-type: none"> <li>Start procedure of establishment of Extreme/Major Disaster Management Headquarters</li> <li>Dispatch of Government Investigation Team</li> </ul>                        |
| Level 4 (Prepare for Emergency) | Severe  | Central Tokyo: 5 Upper<br>Other area: 6 Lower | <ul style="list-style-type: none"> <li>Holding a conference on disaster management with relevant Ministries and Agencies</li> <li>Dispatch of Government Investigation Team</li> </ul>                         |
| Level 3 (Warning)               | Considerable disaster occurs or expected to occur | Central Tokyo: 5 Lower<br>Other area: 5 Upper | <ul style="list-style-type: none"> <li>Holding a conference on disaster management with relevant Ministries and Agencies (if needed)</li> <li>Dispatch of Government Investigation Team (if needed)</li> </ul> |
| Level 2 (Alert)                 | Beware of occurrence of a disaster                | Other area: 5 Lower                           |  |
| Level 1 (Normal)                | Need to keep watching                             |   |  |

## Status in India



## Worldwide Status



# DISASTER MANAGEMENT MODEL

## HAZARD IDENTIFICATION

Research; Screening  
Monitoring; Diagnosis

## RISK ESTIMATION

•Revelation; Intuition  
•Extrapolation

## EVALUATION AND RESOURCE ALLOCATION

•Choose control energy; Implement strategy  
•Allocate resources; Monitor & mitigate

**HAZARD MAPS**  
location of threat

**RISK MAPS**  
probability and degree of consequence

**Emergency Mapping**

**For Preparedness**

**During Crisis**

**Emergency Response**

**Recovery**

**Risk Monitoring and Updating**

**Non-structural**

**Structural**

Emergency Planning

Early Warning

Awareness/  
training

Landuse Planning

Building Code

Reinforcing

Protective Measures

**Risk Reduction**

THANK  
YOU